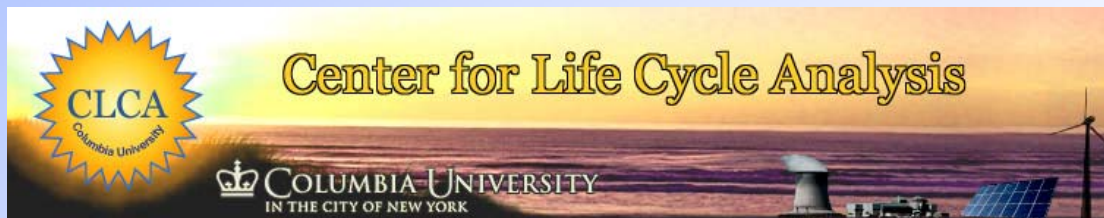


Energy-Water-Environmental Challenges: Sustainable Development Solutions in Mining

Vasilis Fthenakis

Center for Life Cycle Analysis
Columbia University
and

Photovoltaics Environmental Research Center
Brookhaven National Laboratory



www.clca.columbia.edu

Problems awaiting Solutions

Top 10 problems of Humanity for the next 50 years
Richard Smalley (1943- 2005)

1. Energy
2. Water
3. Food
4. Environment
5. Poverty
6. Terrorism & War
7. Disease
8. Education
9. Democracy
10. Population

A Grand Plan for Solar Energy

By 2050 renewable energy to supply 69% of electricity, 35% of total energy needs of the U.S.
Zweibel, Mason, Fthenakis, Jan. 2008

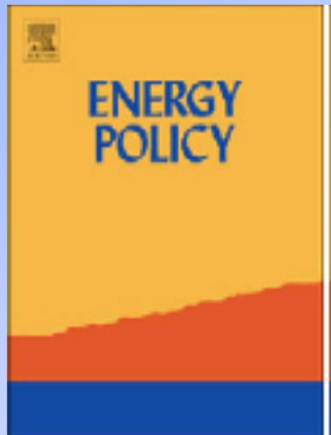


**Photovoltaics
 Concentrated Solar Power
 Wind**

Cumulative Deployment 2030
 254 GW PV; 210 GW Wind
 118 GW CSP; 55 GW Geothermal

The technical, geographical and economic feasibility for solar energy to supply the energy needs of the U.S.,

Vasilis Fthenakis, James Mason, Ken Zweibel, Energy Policy 37 (2009)



Center for Life Cycle Analysis (CLCA): Energy-Water-Environmental Research

■ *Current Research Areas:*

1. Sustainability Systems Analysis of Energy Life-Cycles
2. Renewable Energy Systems Integration

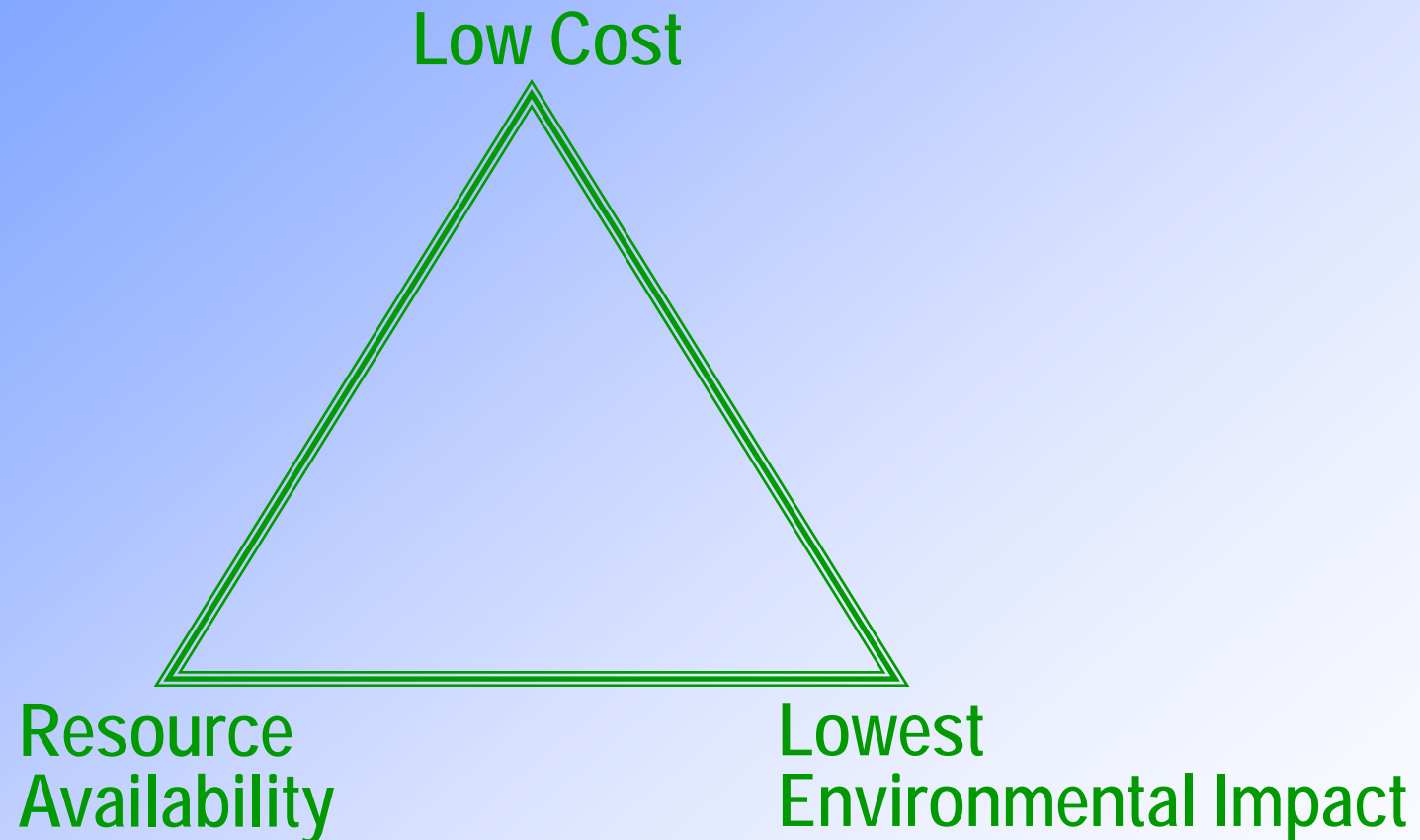
■ *Applications:*

Industry: Photovoltaics, Energy Storage, Water Desalination, Mining

Country: Europe, United States, Chile, Arabian Gulf Countries

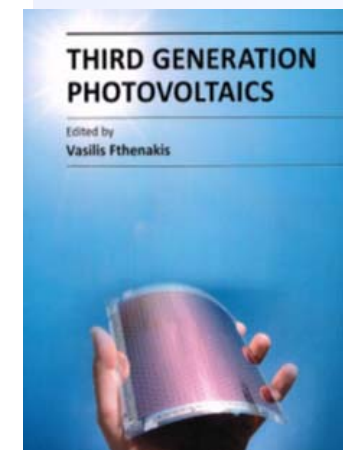
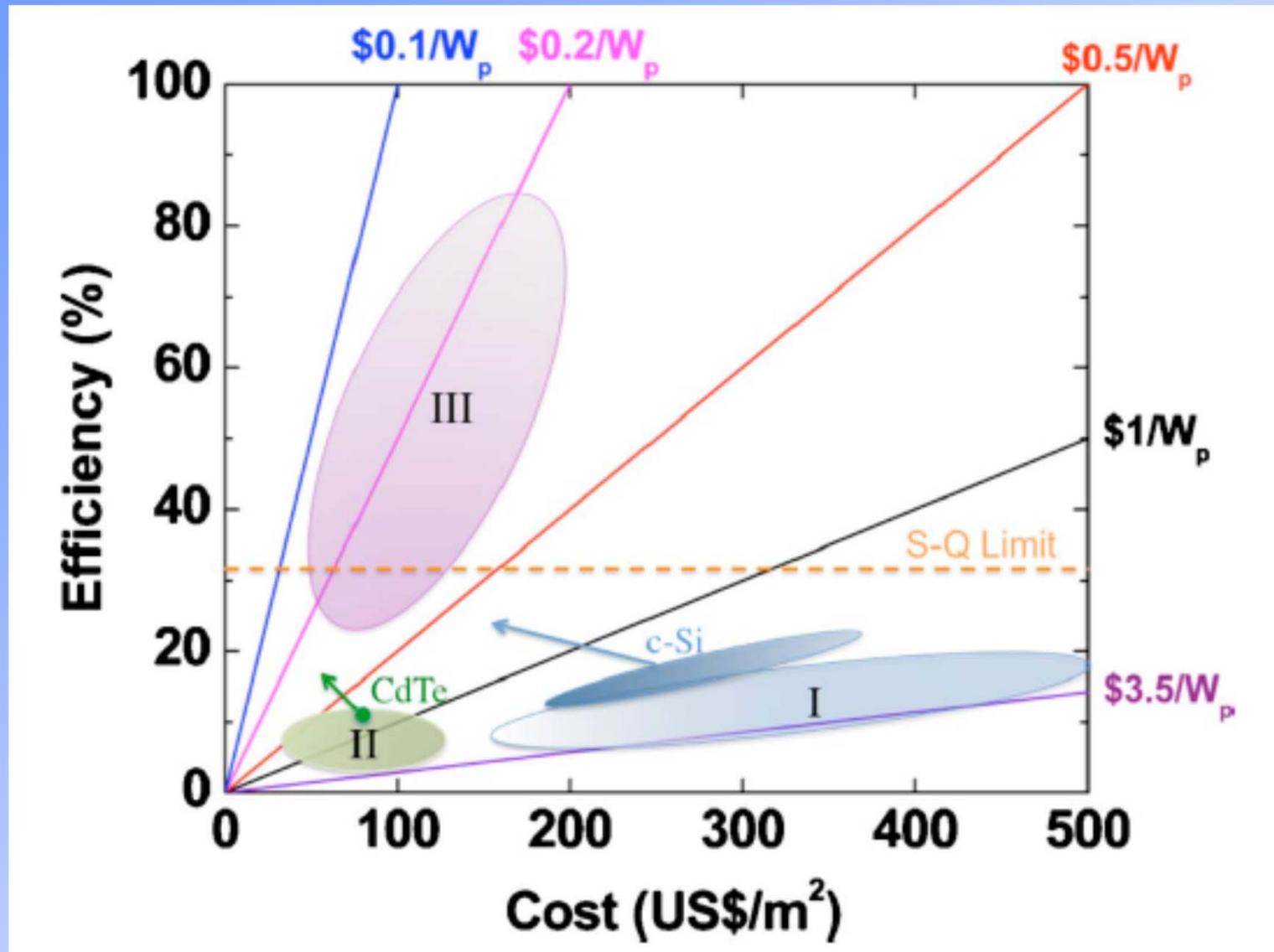
Area 1: Sustainability Systems Analysis of RE Life-Cycles

Large Scale PV –Sustainability Criteria



Fthenakis, The sustainability of thin-film PV, Renewable & Sustainable Energy Reviews, 2009
Fthenakis, Sustainability metrics for extending thin-film PV to terawatt levels. MRS Bulletin, 2012.

Three Generations of Solar Cells: Module Costs



Wolden C, Kurtin J., Baxter J., Repins I., Shaheen S., Torvik J., Rockett A., Fthenakis V., Aydil E., Photovoltaic Manufacturing: Present Status and Future Prospects, *J. Vac. Sci. Technol. A* 29(3), 030801-16, 2011

Fthenakis V. (editor), *Third Generation Photovoltaics*, InTech Publishing, 2012

Energy-Environmental Systems Analysis

Example: CdTe PV LCA

Questions to answer:

Can it compete with electricity from fossil fuels?

Low Cost

Te availability ?

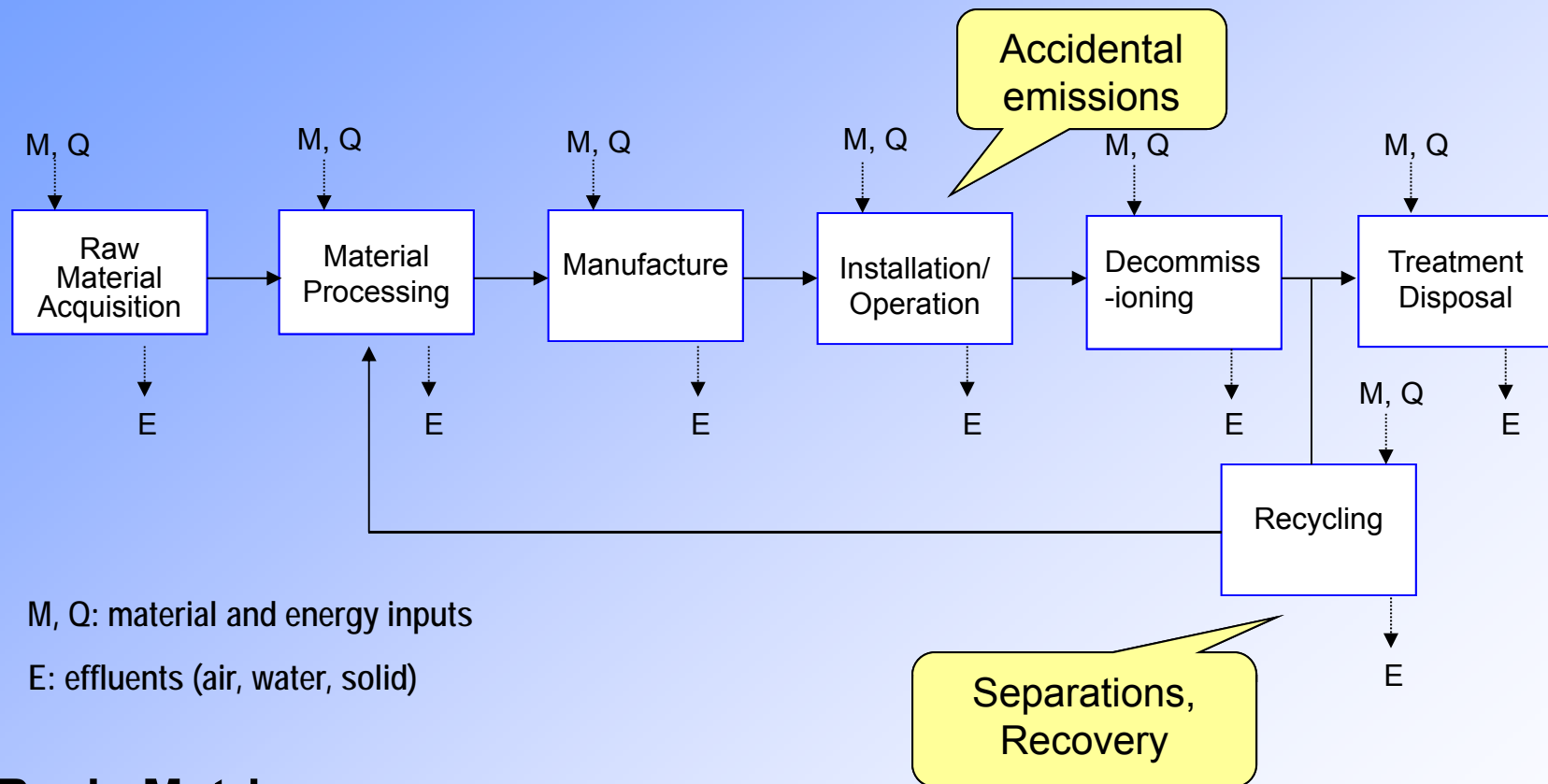
Cd risks ?

Resource Availability

Lowest Environmental Impact

Life Cycle Analysis

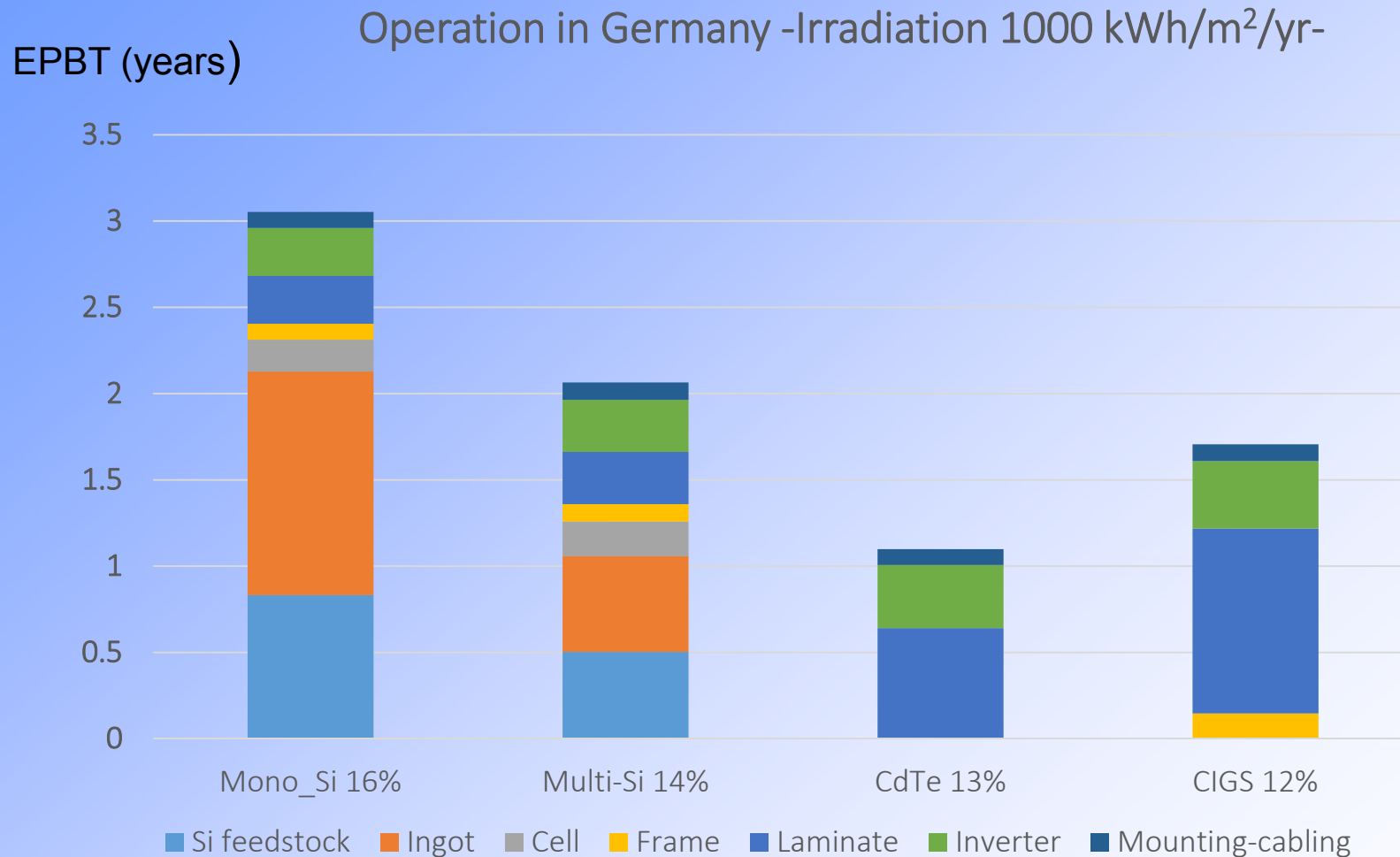
Experimental Research at BNL & CU



Basic Metrics

- Energy Payback Times (EPBT)
- Greenhouse Gas Emissions
- Toxic Emissions
- Resource Use (materials, water, land)

Energy Payback Times (EPBT)



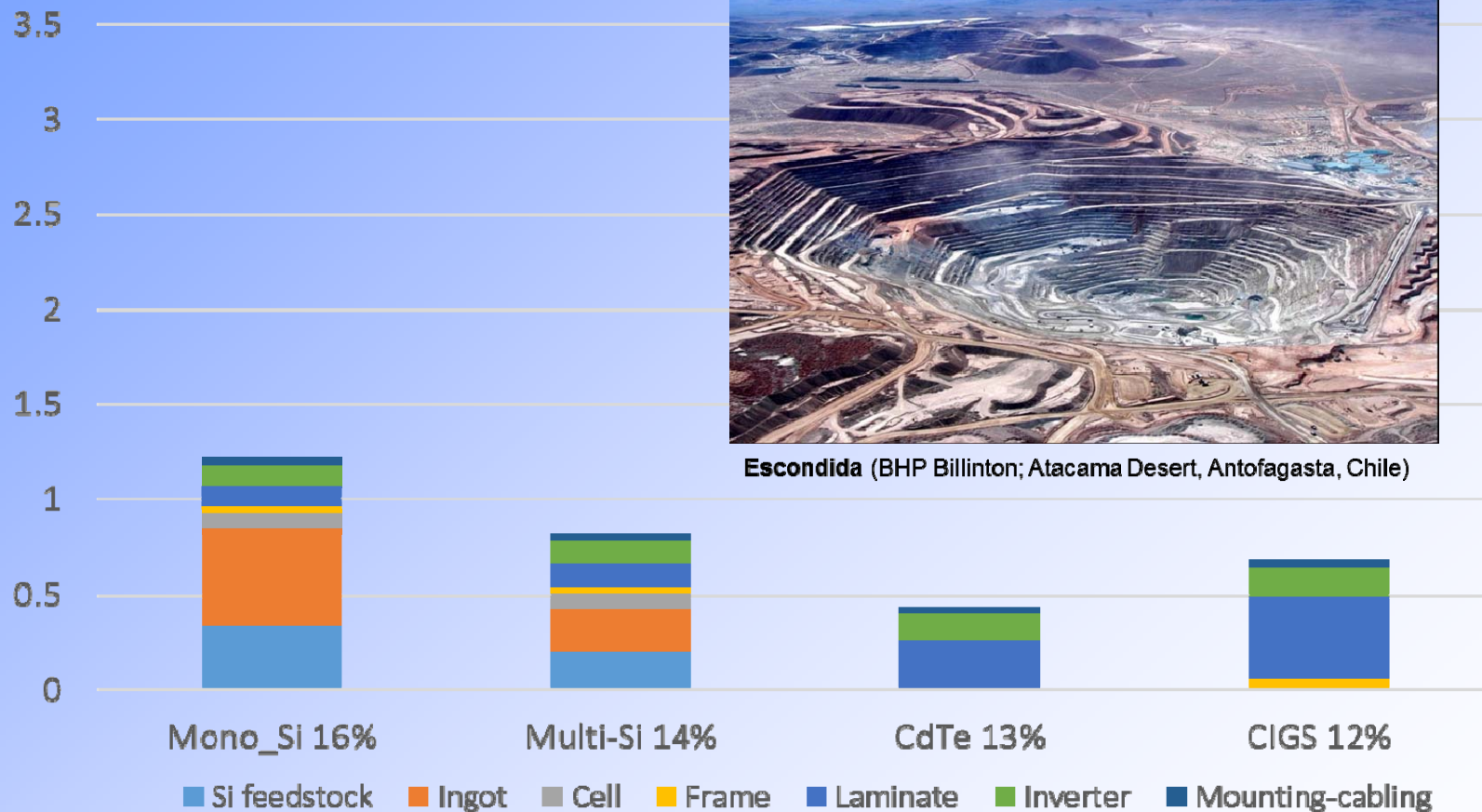
Based on data from 14 manufacturers in Europe, U.S. and Japan

-Fthenakis & Kim, *Encyclopedia of Sustainability Science and Technology*, 2012; -Fthenakis & Kim, *Solar Energy*, 2011; -deWild et al., 2009, *EUPV*, 2013; Fthenakis et al., *EUPV*, 2009; -Fthenakis & Kim, *ES&T*, 2008; -Alsema & de Wild, *Material Research Society, Symposium*, 2006; - Fthenakis & Alsema, *Progress in Photovoltaics*, 2006

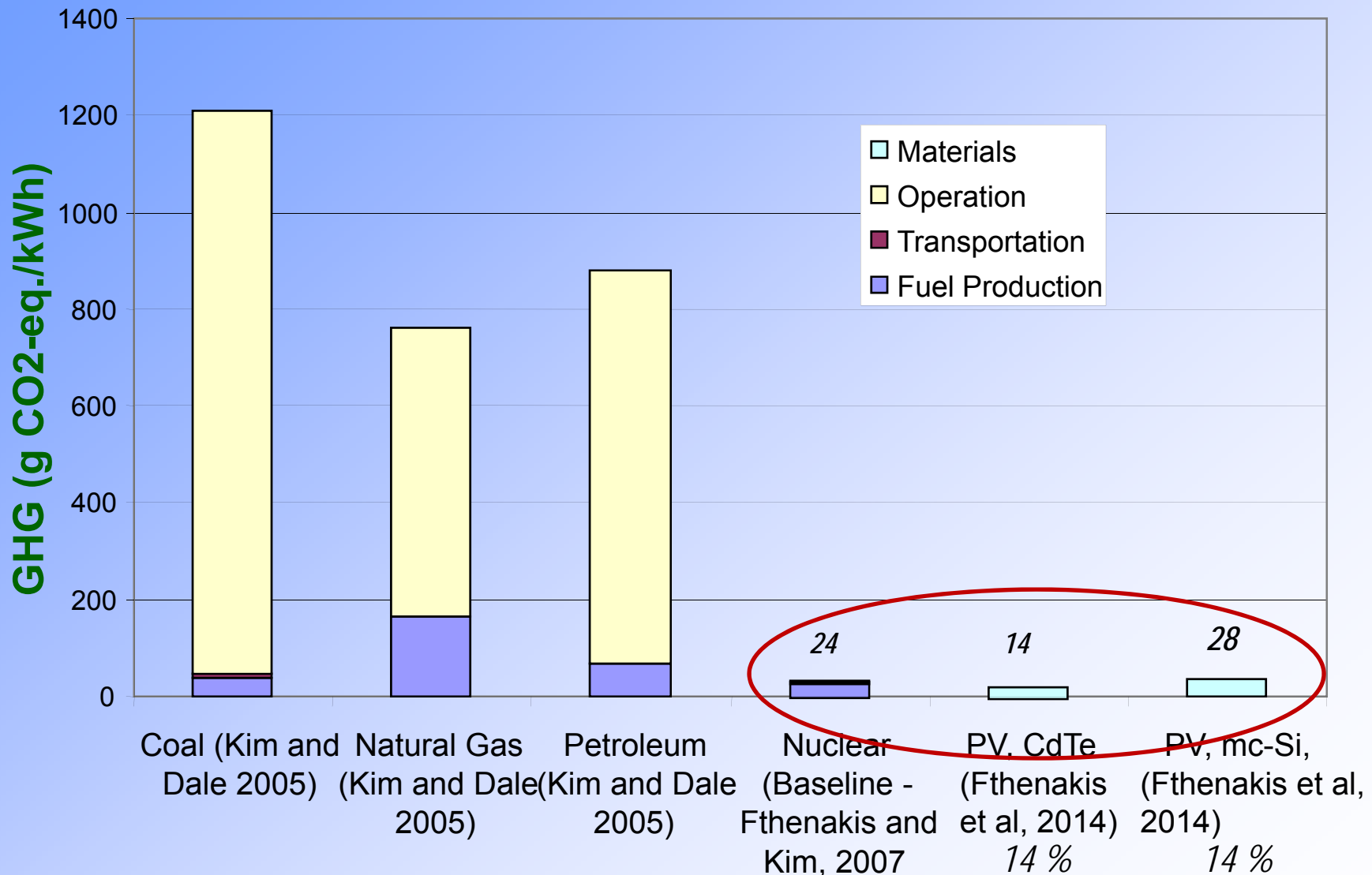
Energy Payback Times (EPBT)

Operation in Chile -Irradiation 2500 kWh/m²/yr-
Solar Energy in Copper Mining

EPBT (years)



GHG Emissions from Life Cycle of Electricity Production: Comparisons



Fthenakis, California Energy Commission, *Nuclear Issues Workshop*, June 2007
 Fthenakis & Kim, Life Cycle Emissions..., *Energy Policy*, 35, 2549, 2007
 Fthenakis & Kim, *ES&T*, 42, 2168, 2008; update 2014

Cd Risk Assessment in CdTe PV life-cycle: Simulations of Accidental Releases during Roof Fires

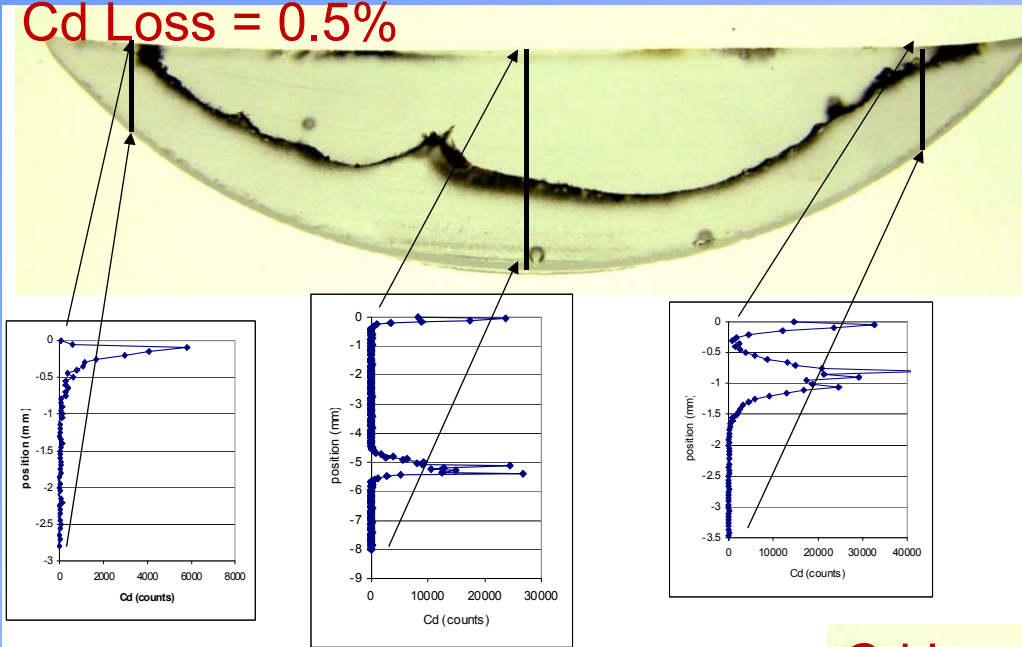
12"x2.5" PV sample burned at 1000 °C



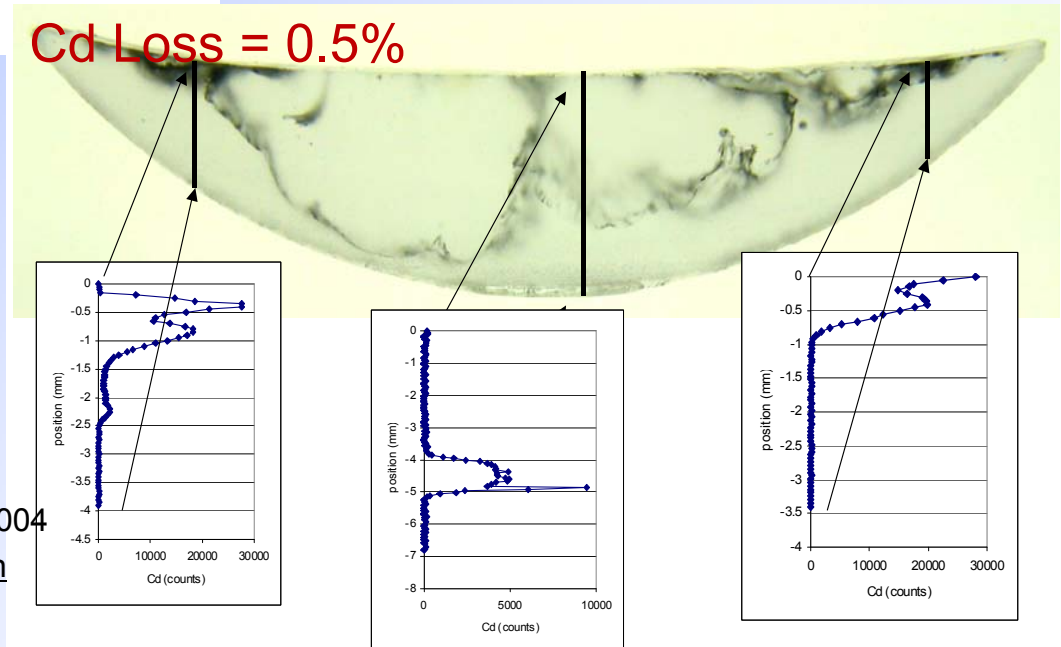
- Weight Loss Measurements
- ICP Analysis of Cd & Te Emissions
- ICP Analysis of Cd & Te in Molten Glass
- X-ray Fluorescence Micro-Spectrometry of Cd in Molten Glass

XRF-micro-probe -Cd distribution in PV sample 1000 °C, Sections taken from middle & side of sample

Cd Loss = 0.5%

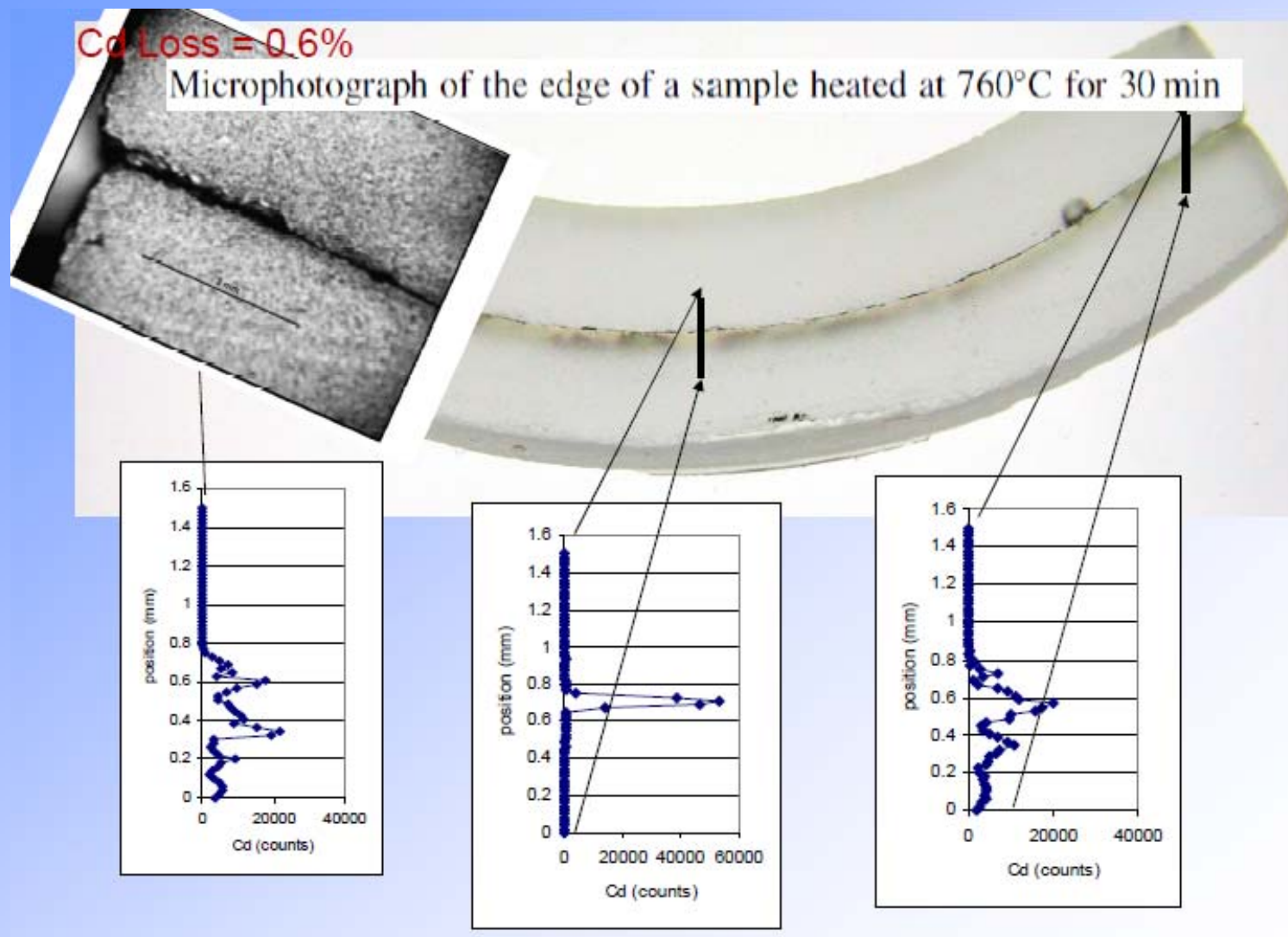


Cd Loss = 0.5%



Fthenakis, Renewable and Sustainable Energy Reviews, 2004
Fthenakis, Fuhrmann, Heiser, Lanzirotti, Wang, Progress in Photovoltaics, 2005

XRF Micro-probe-Cd Distribution in PV sample 760 °C, Section from middle of sample



Fthenakis, Renewable and Sustainable Energy Reviews, 2004

Fthenakis et al, Progress in Photovoltaics, 2005

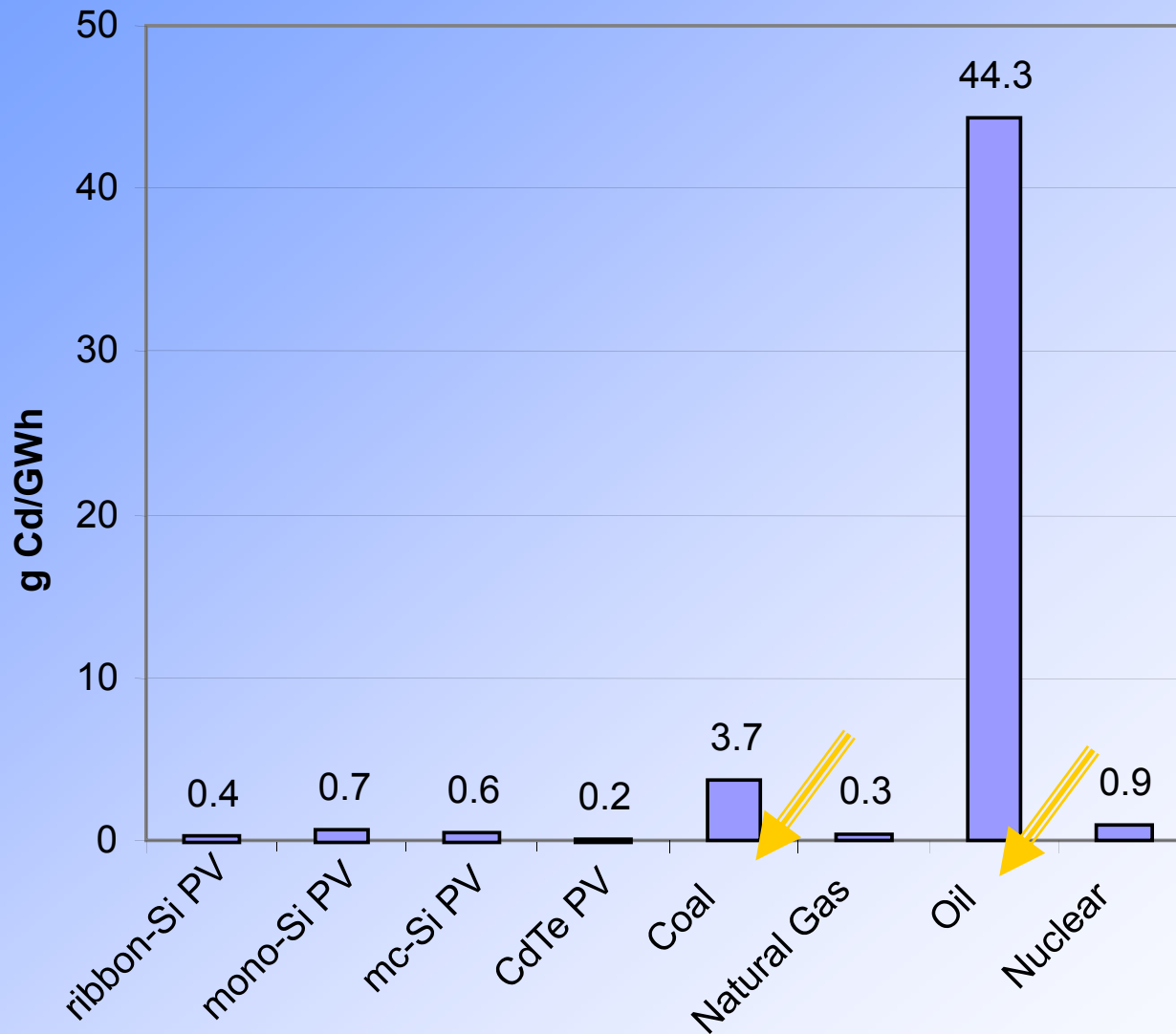
Atmospheric Cd Emissions from the Life-Cycle of CdTe PV Modules –Reference Case

Process	(g Cd/ton Cd*)	(%)	(mg Cd/GWh)
1. Mining of Zn ores	2.7	0.58	0.02
2. Zn Smelting/Refining	40	0.58	0.30
3. Cd purification	6	100	7.79
4. CdTe Production	6	100	7.79
5. CdTe PV Manufacturing	0.4*	100	0.52*
6. CdTe PV Operation	0.05	100	0.06
7. CdTe PV Recycling	0.1*	100	0.13*
TOTAL EMISSIONS			16.55

Plus 200 mg Cd/GWh
Indirect Cd emissions
from electricity and
diesel used in life-cycle

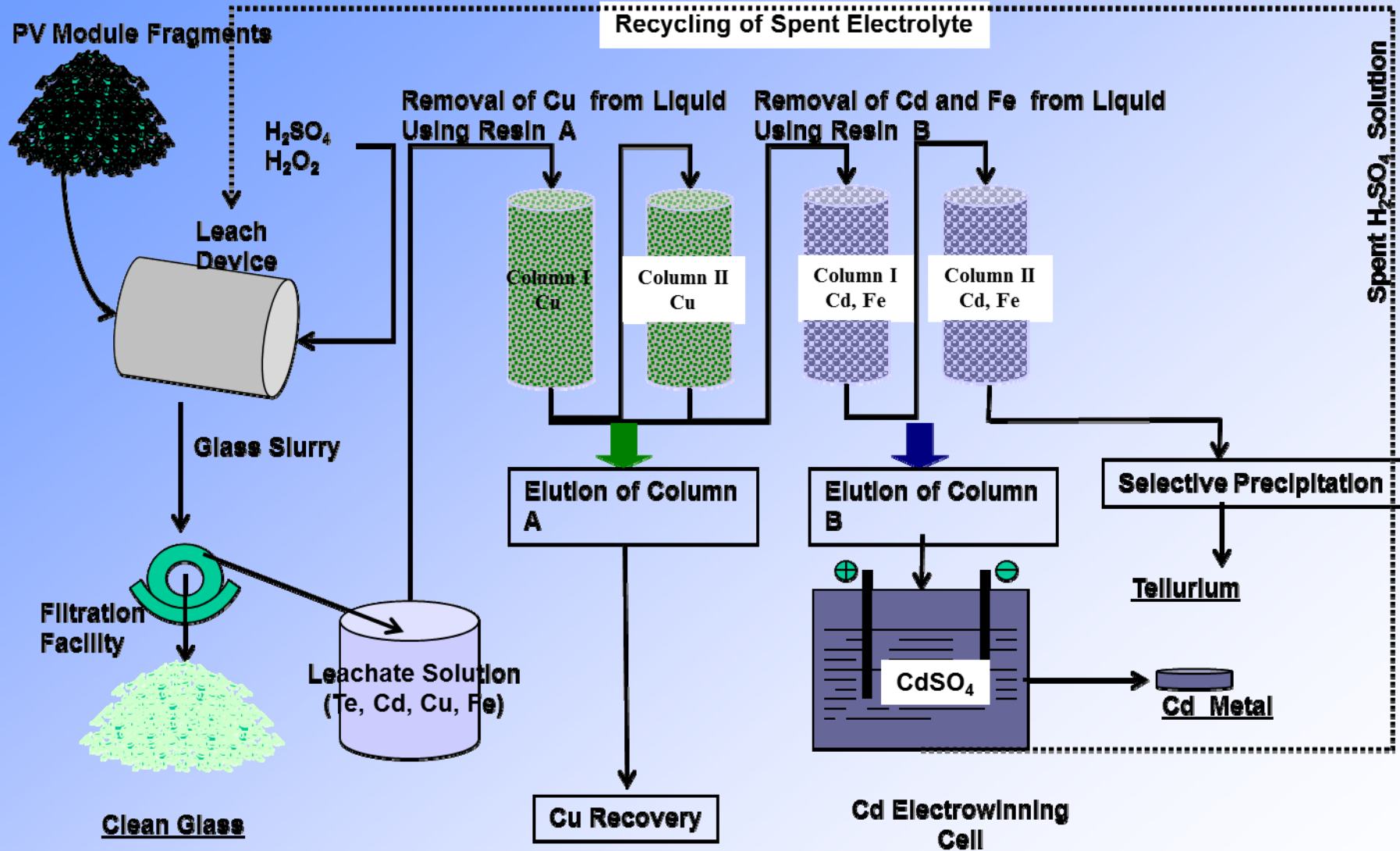
* 2011 updates

Total Life-Cycle Cd Atmospheric Emissions



Fthenakis and Kim, *Thin-Solid Films*, 515(15), 5961, 2007
Fthenakis, Kim & Alsema, *Environ. Sci. Technol.*, 42, 2168, 2008

Recycling R&D : CdTe PV Modules

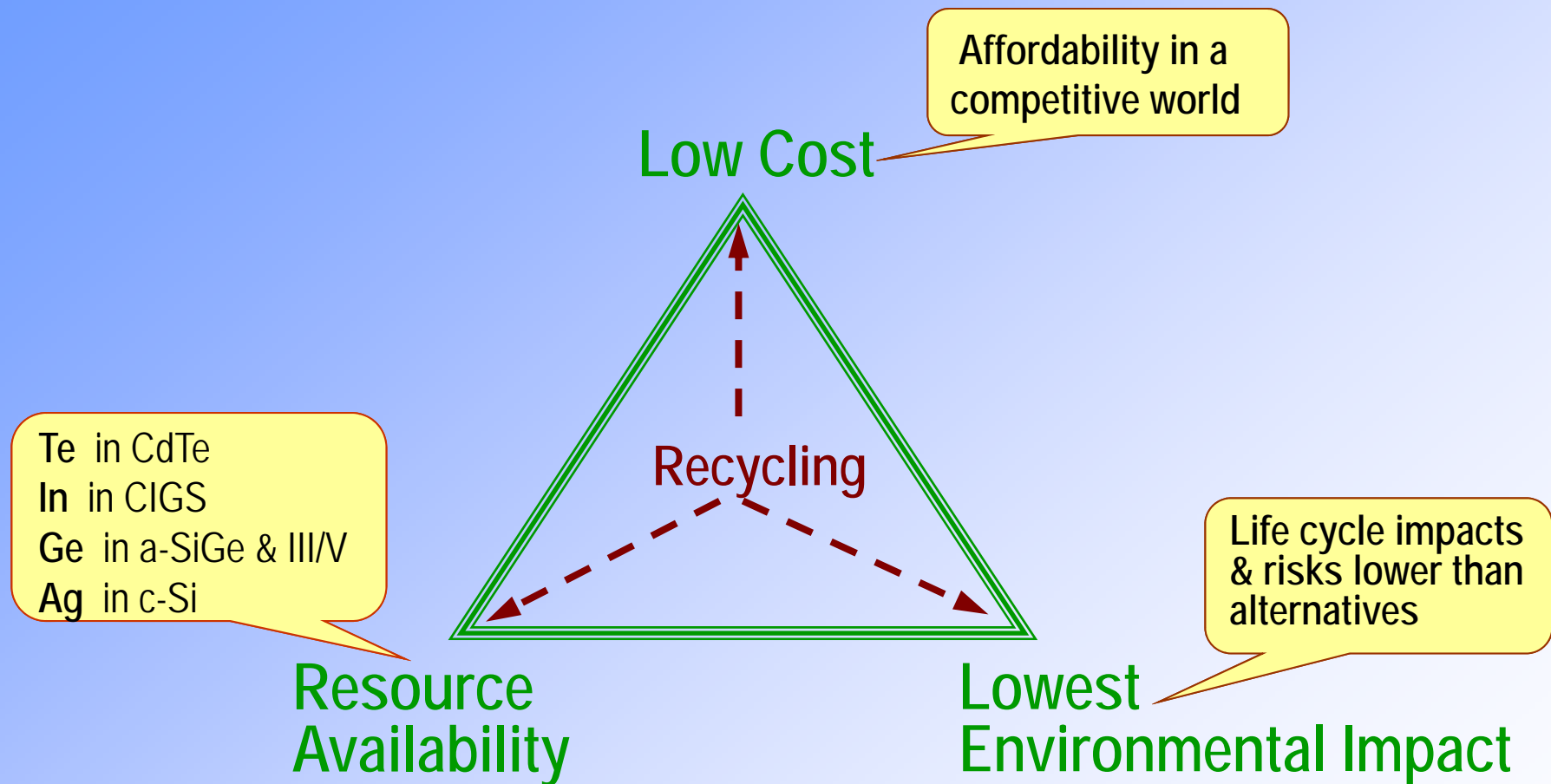


Fthenakis V. and Wang W., Separating Te from Cd Waste [Patent No 7,731,920](#), June 8, 2010

Wang W. and Fthenakis V.M. Kinetics Study on Separation of Cadmium from Tellurium in Acidic Solution Media Using Cation Exchange Resin, [Journal of Hazardous Materials](#), B125, 80-88, 2005

Fthenakis V.M and Wang W., Extraction and Separation of Cd and Te from Cadmium Telluride Photovoltaic Manufacturing Scrap, [Progress in Photovoltaics](#), 14:363-371, 2006.

Large Scale PV –The Value of Recycling



Fthenakis, Mason & Zweibel, The technical, geographical and economic feasibility for solar energy in the US, Energy Policy, 2009
Fthenakis, The sustainability of thin-film PV, Renewable & Sustainable Energy Reviews, 2009
Fthenakis, Sustainability metrics for extending thin-film PV to terawatt levels. MRS Bulletin, 2012

LCA Guiding Energy Policy

(Expert Peer Reviews of EHS Impacts & Benefits of CdTe PV Technology)

Expert Peer Reviews by: US-DOE, 2004; EC-JRC, 2004; German Ministry of the Environment, 2005

- 2005-European Union
- 2009-France
- 2010-Spain
- 2012-Italy
 - -Germany
 - -Thailand
 - -India
 - -Japan
 - -Middle-East
- 2013-China
 - -Chile
- 2014-Brazil
- 2015-South Africa

   	  	  	   	  	 	       
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LCA Guiding PV Technology Evolution

- **Methodology**
- Fthenakis, Frischknecht, Raugei, et al., **Methodology Guidelines** on Life Cycle Assessment of Photovoltaic Electricity, 2nd edition, International Energy Agency, Report IEA-PVPS T12-03:2011.
- **Si PV Evolution –EPIA Roadmap**
- Mann S., de Wild-Scholten M., Fthenakis V., van Sark W., Sinke W., The energy payback time of **advanced crystalline Si PV modules in 2020**: a prospective study, Prog. Photovolt: Res. Appl. 2014
- **Nano & Organic PV**
- Kim & Fthenakis, Comparative Life Cycle Energy Payback Analysis of multi-junction a-SiGe and **nanocrystalline** /a-Si modules, Prog. Photov: Res. and Applications, 2011.
- Kim & Fthenakis, Journal of Industrial Ecology, Life Cycle Energy and Climate Change Implication of **Nanotechnologies**: A Critical Review, Journal of Industrial Ecology, 2013.
- Fthenakis & Anctil, Life Cycle Assessment of **Organic** Photovoltaics, in Third Generation Photovoltaics, InTech, 2012.
- **Buildings**
- Asdrubali, Baldassarri & Fthenakis, LCA in the Construction Sector: **Guiding the Optimization of Conventional Italian Buildings**, Energy and Buildings, 2013.

Resource Availability: Land, Water, Materials

Land

Fthenakis & Kim, **Land Use and Electricity Generation: A Life Cycle Analysis**, Ren. and Sustainable Energy Reviews, 2009.

Water

Fthenakis & Kim, **Life-cycle of Water in U.S. Electricity Generation**, Ren. and Sustainable Energy Reviews, 2010.

Critical Metals

Fthenakis, Wang & Kim, **Life Cycle Inventory Analysis in the Production of Metals used in Photovoltaics**, Ren. and Sustainable Energy Reviews, 2009.

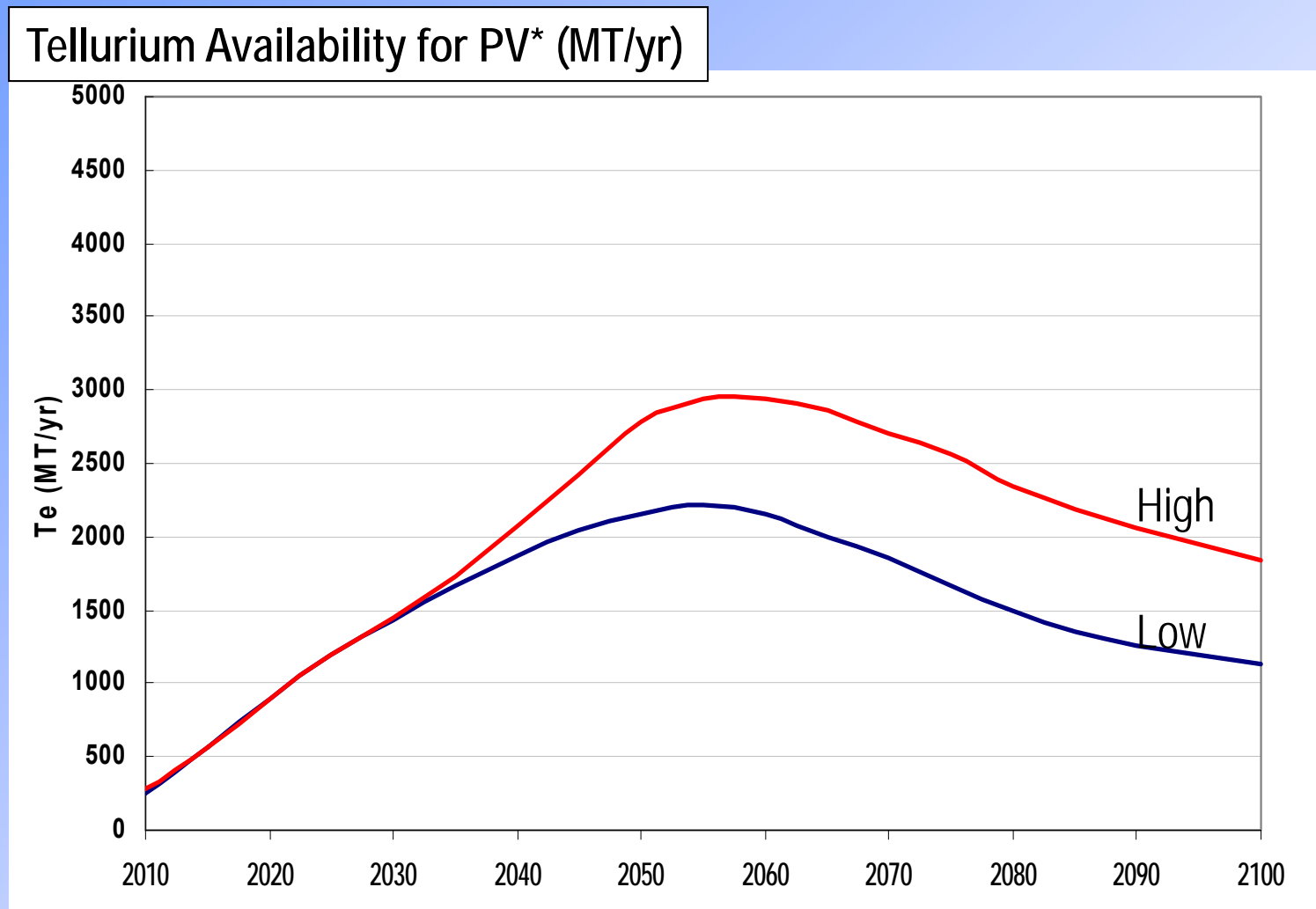
Matsuno, Hur & Fthenakis, **Dynamic Modeling of Cadmium Flow with Zinc and Steel** in Japan, Resources, Conservation and Recycling, 2012.

Anctil & Fthenakis, **Critical metals** in strategic photovoltaic technologies: **abundance versus recyclability**, Progress in Photovoltaics: Research and Applications, 2013

Wang & Fthenakis, **Feasibility of Recycling** of CdTe Photovoltaics, The Minerals, Metals & Materials Society, 2005.

Wang & Fthenakis, **Recovery of Tellurium** from CdTe Photovoltaic Module Manufacturing Scrap & other Sources, The Minerals, Metals & Materials Society, 2006.

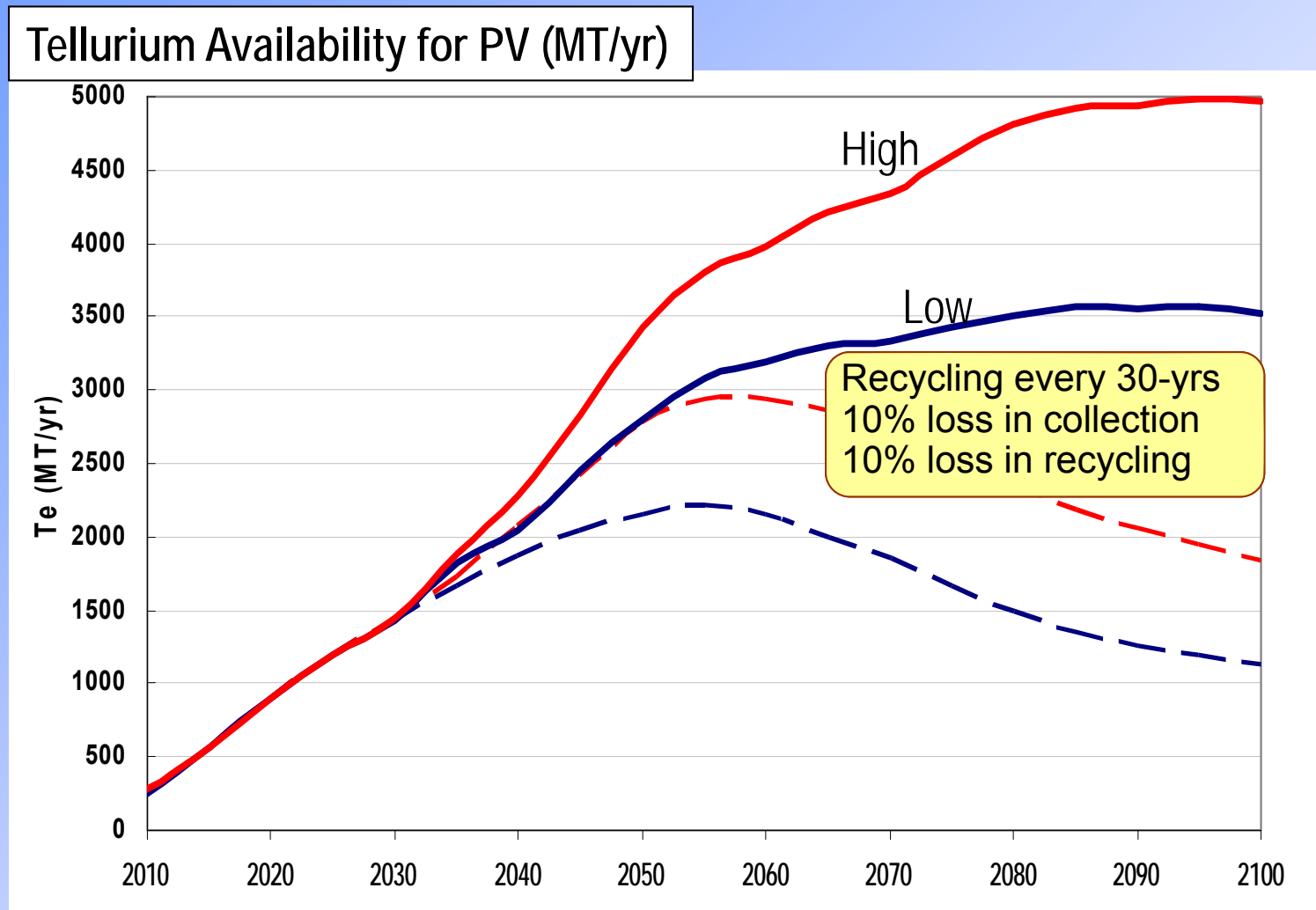
Tellurium for PV* from Copper Smelters



- Global Efficiency of Extracting Te from anode slimes increases to 80% by 2030 (low scenario); 90% by 2040 (high scenario)

* 322 MT/yr Te demand for other uses has been subtracted
All the future growth in Te production is allocated to PV

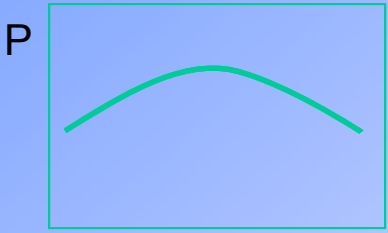
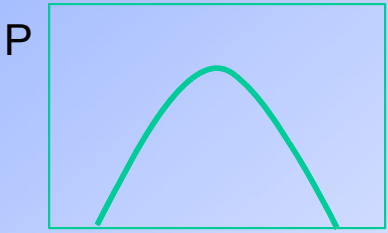
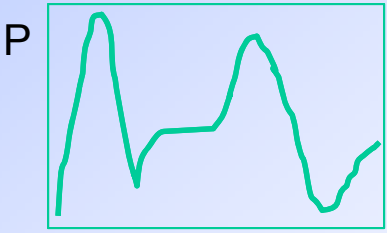
Te Availability for PV: Primary + Recycled



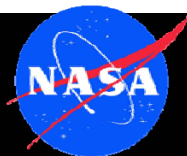
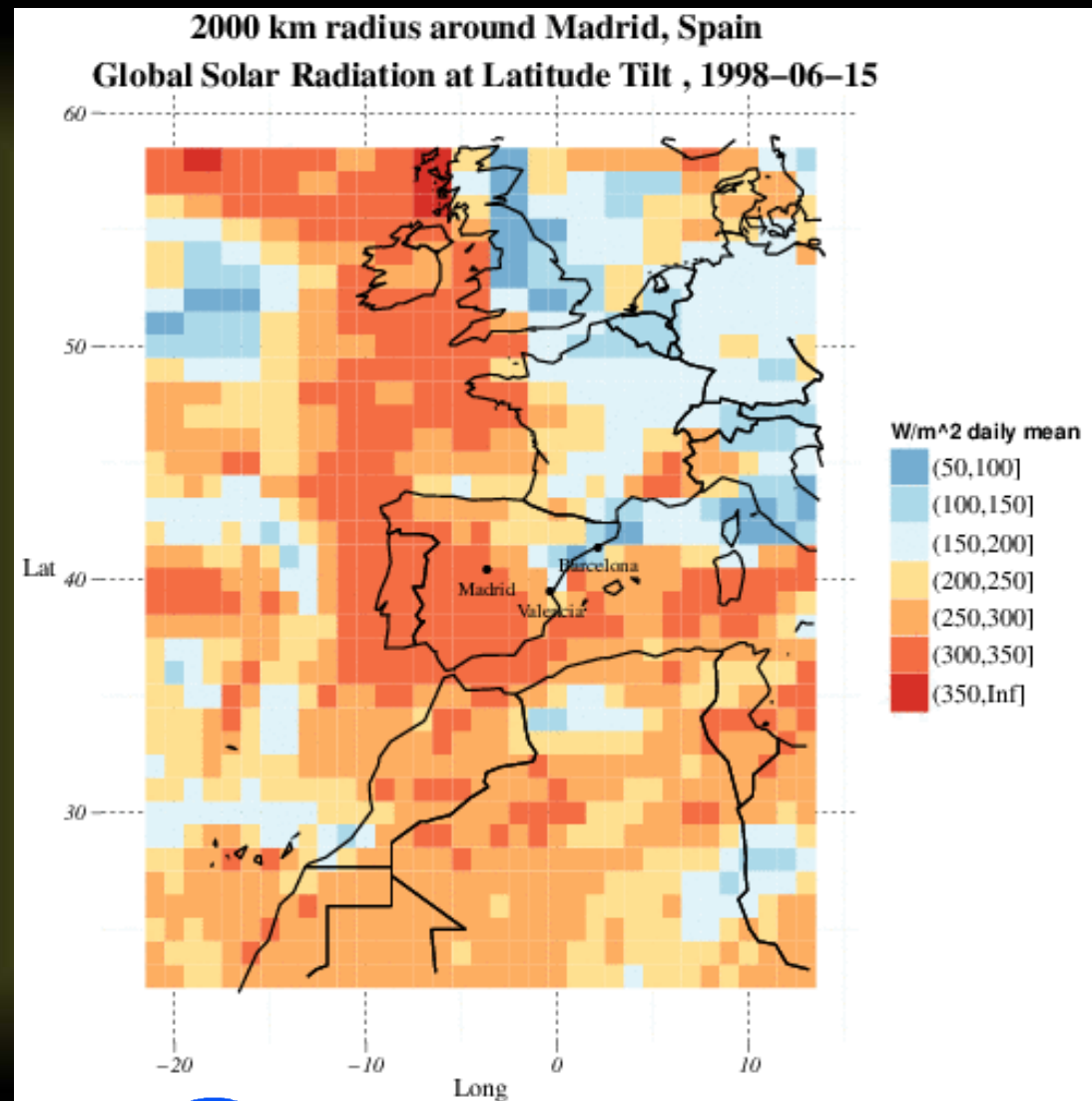
Fthenakis V., [Renewable & Sustainable Energy Reviews](#) 13, 2746, 2009
Fthenakis V., [MRS Bulletin](#), 37, 425, 2012

Area 2: Renewable Energy Systems Integration

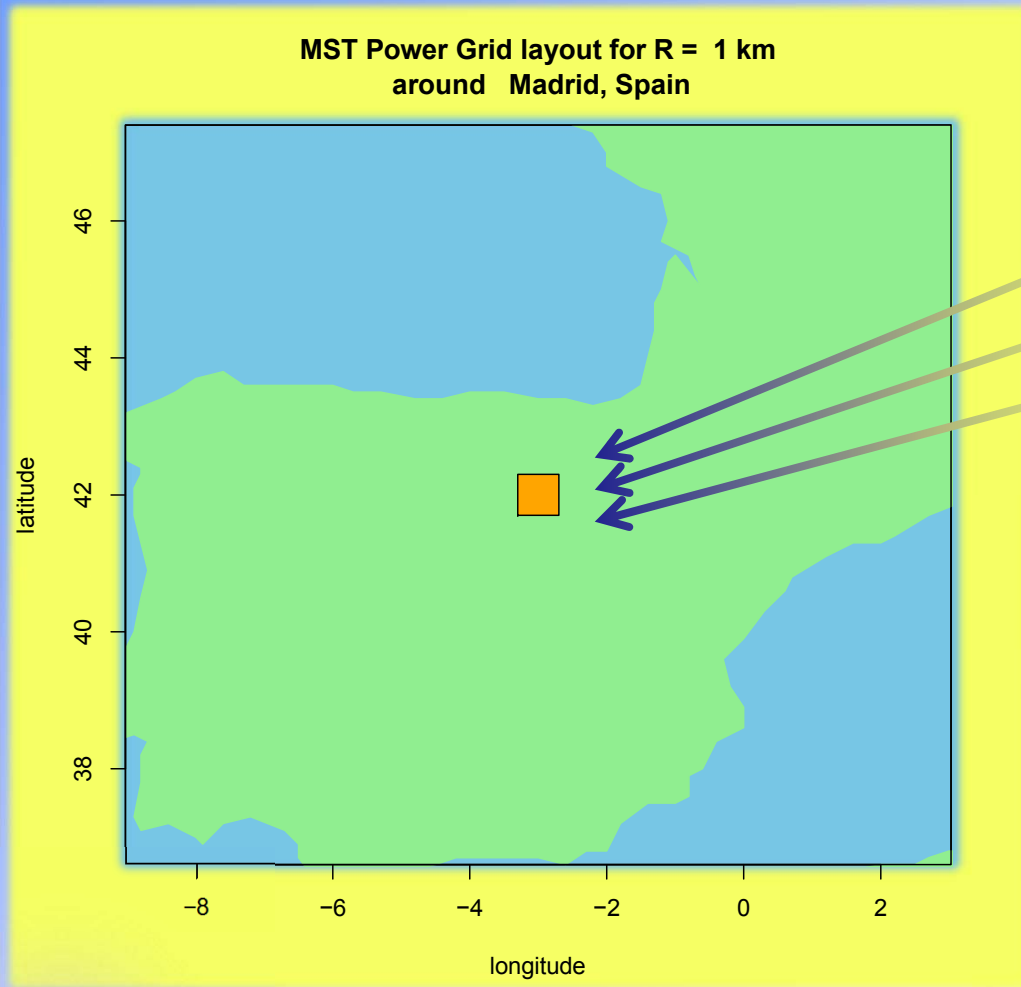
Solar Variability Solutions: Cost Optimization

Type:	Seasonal	Diurnal	Cloud-induced
	 <p>months</p>	 <p>hours</p>	 <p>minutes</p>
Solutions:	System oversizing Long-distance Transmission Storage (Hydro, CAES)	Storage (Hydro, CAES, Batteries)	Forecasting, Geographic dispersion, Storage (Flywheels, capacitors, batteries)
Markets*:	Energy market →	Energy market (day-ahead)	Load Following, Frequency Regulation

Daily Tilted-plane and tracking Radiation Simulations anywhere on the planet



PV-Storage-Transmission Model Applied to Madrid, Spain



Co-located
Load,
PV
Storage

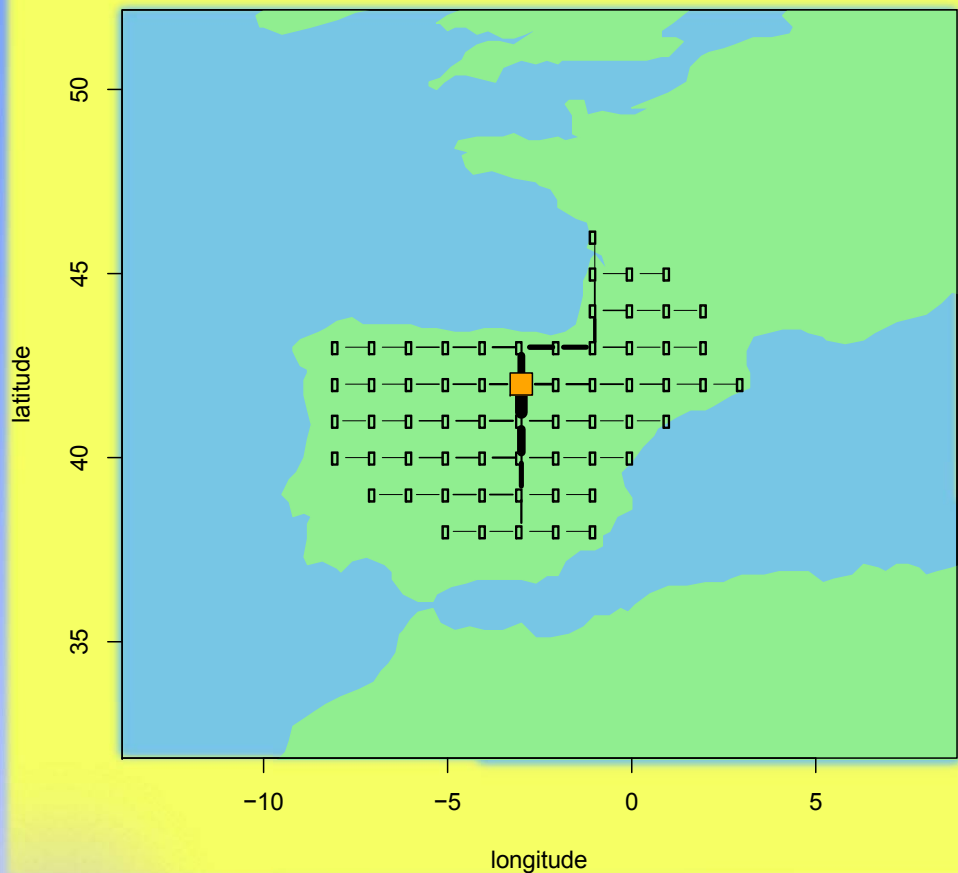
10 GW_{DC}



- Marginal Storage Cost
- Marginal PV Cost

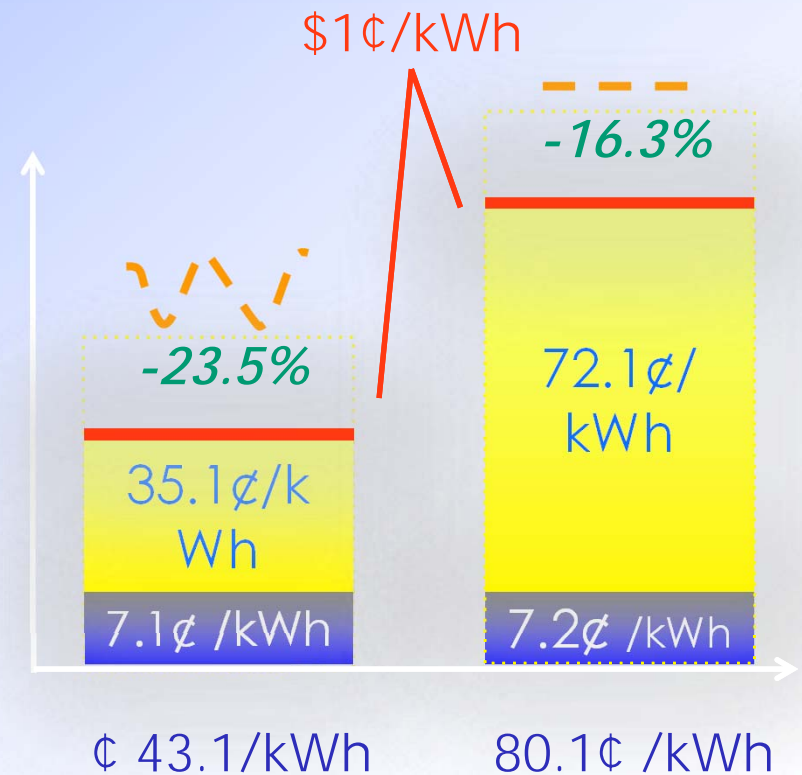
PV-Storage-Transmission Model Applied to Madrid, Spain

MST Power Grid layout for R = 500 km around Madrid, Spain

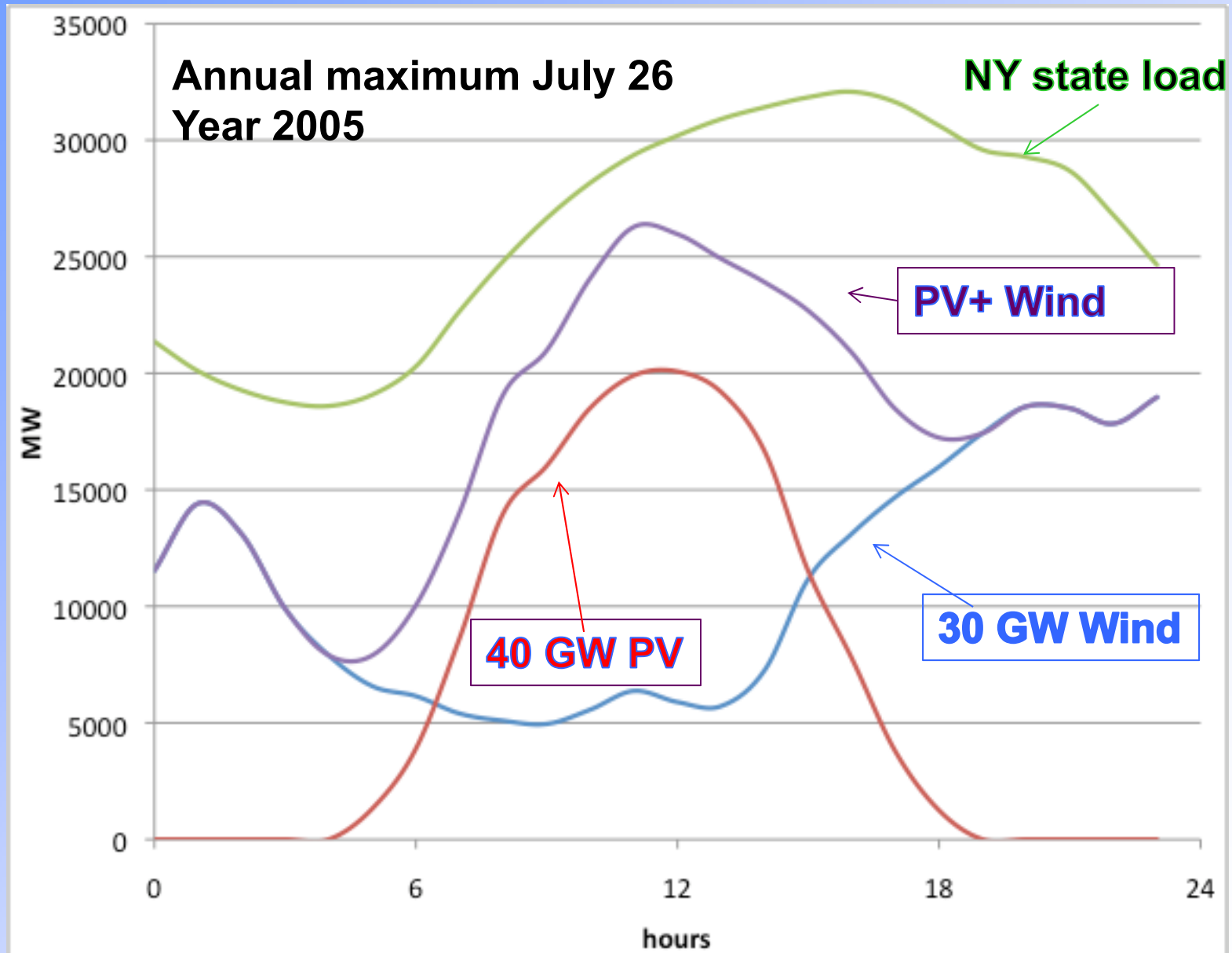


- Marginal Storage Cost
- Marginal PV Cost
- Marginal Interconnection Cost

Interconnected PV across 500km



The Synergy of Combining PV and Wind

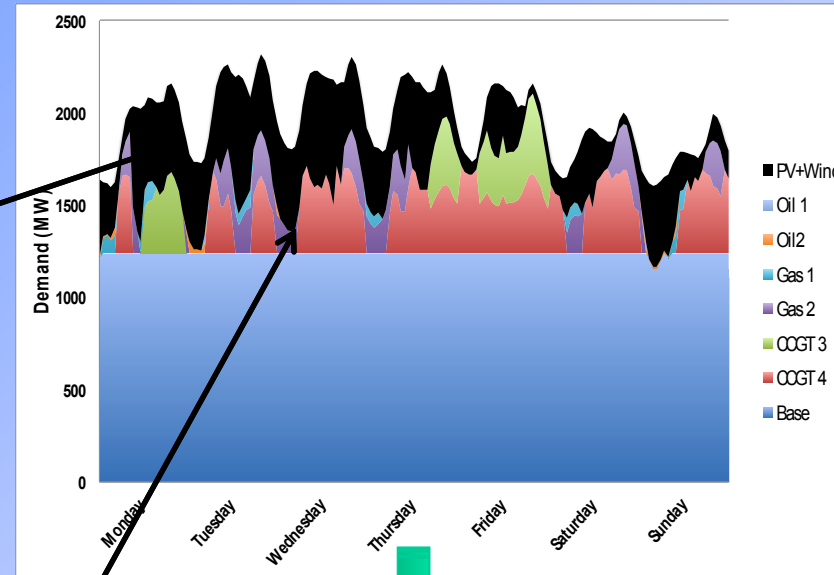


Modeling the Dispatch of Fossil-fuel Generators to Balance the Solar+Wind Variability

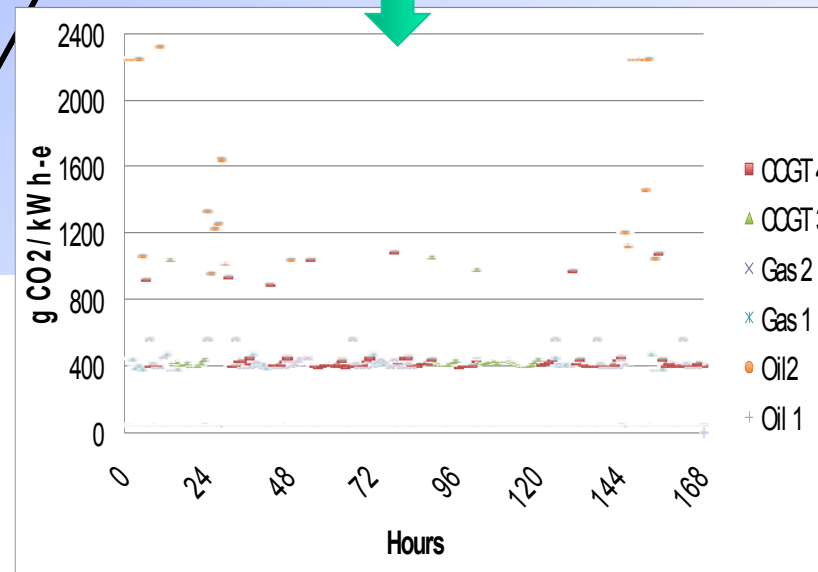
Variable Generation



Dispatchable Generation



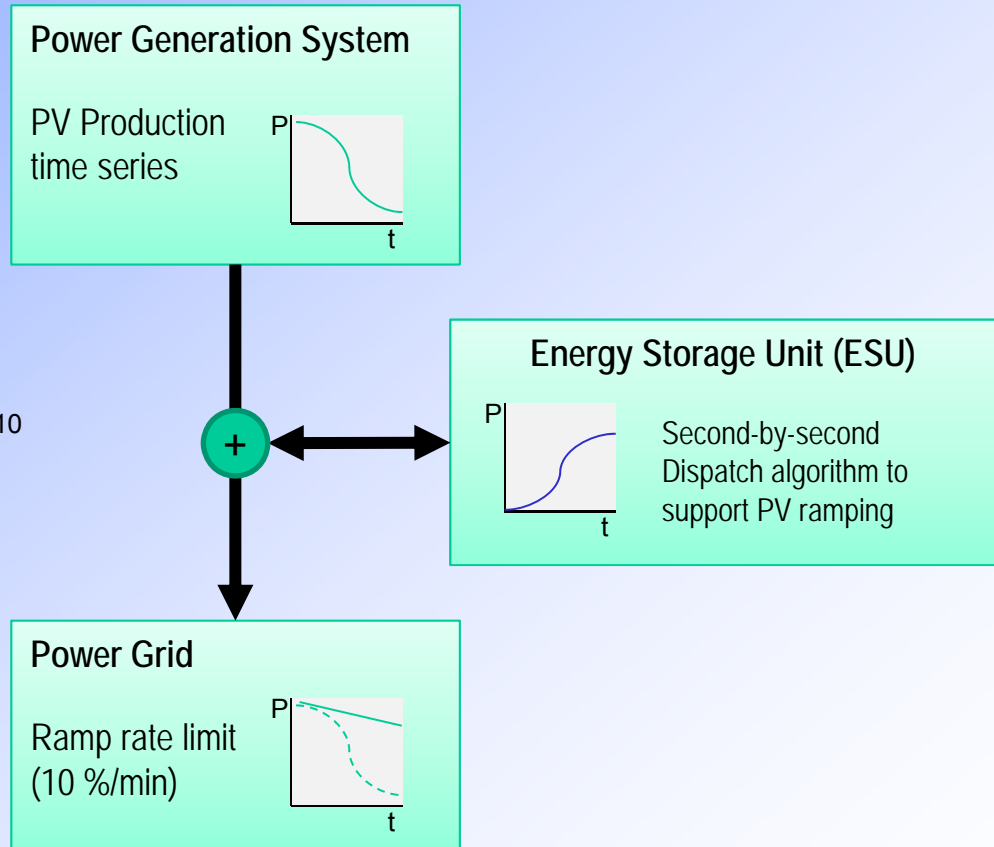
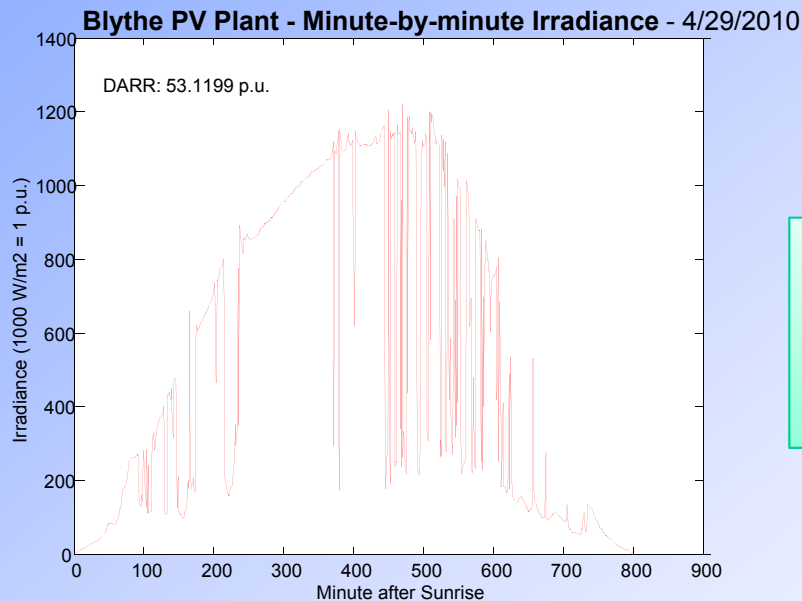
Unit Commitment and Economic Dispatch



- Fuel consumption & associated emissions
- Start-up emissions
- Start-up & operational fuel costs
- O&M & wear of equipment costs

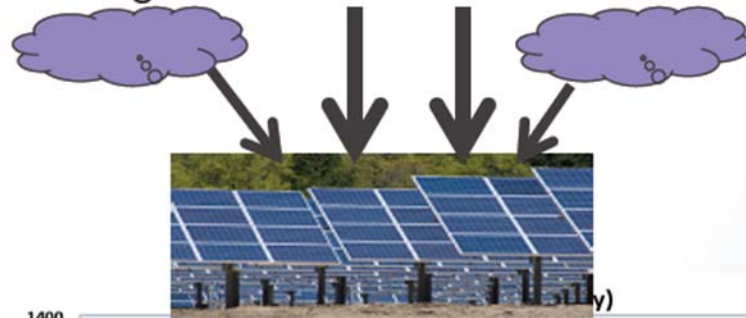
PV Power Plant Ramps Induced by Clouds: Energy Storage for Ramp Rate Control

Development of models for simulating dispatch of Energy Storage Units to control PV plant ramp rates (based on 1.5 billion data from 9 PV power plants)



BNL Research on Improving Solar Energy Prediction via Sky-Imaging

• Long Island Solar Farm (LISF), a 195 acre, 32MW (AC) flat panel photovoltaic solar power generating facility, currently the largest in the eastern U.S.



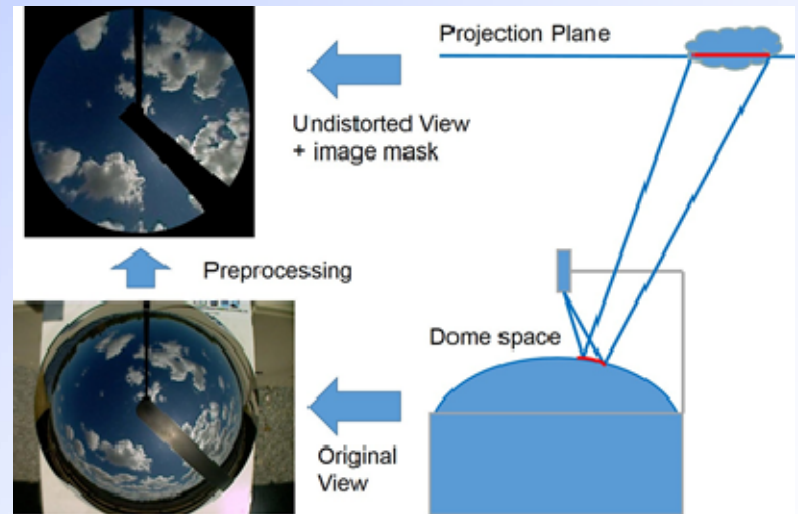
(a) TSI_1



(b) TSI_2

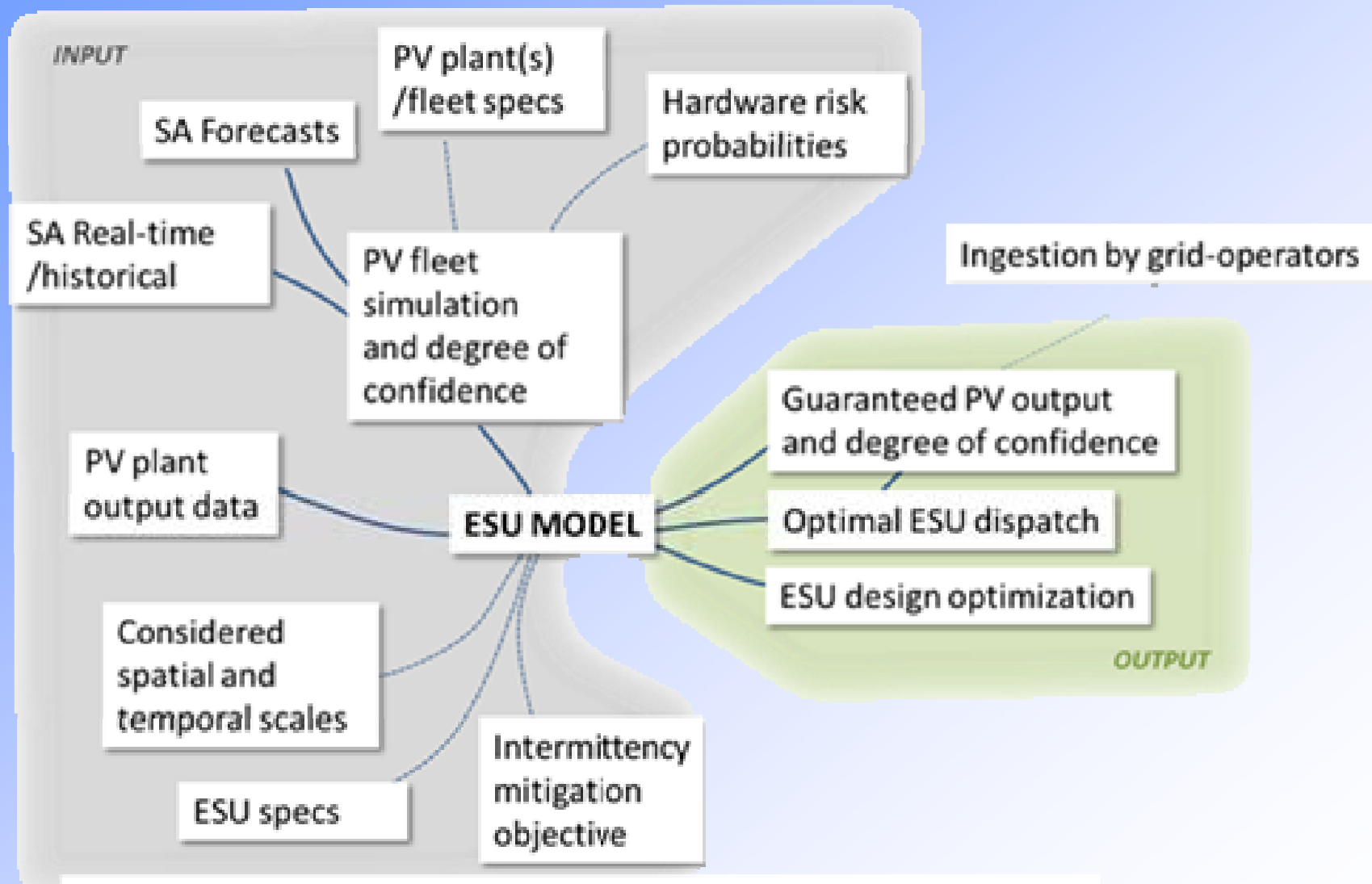


(c) TSI_3



Courtesy: Dantong Yu, BNL

Smart Solar Forecast-enabled Dispatching of Storage Systems to Provide Solar Output Certainty to Grid Operators, while Minimizing Variability and System Costs



Fthenakis V., Perez R., Hoff T., proposal to DOE SunShot, concept paper under review

Inexpensive & Reliable Solar Energy Empowering the Mining and Industrial Mineral Industries

By:

- Reducing energy costs
- Minimizing electricity production emissions
- Using solar energy for water desalination

Challenge:

- Solar energy dispatchability

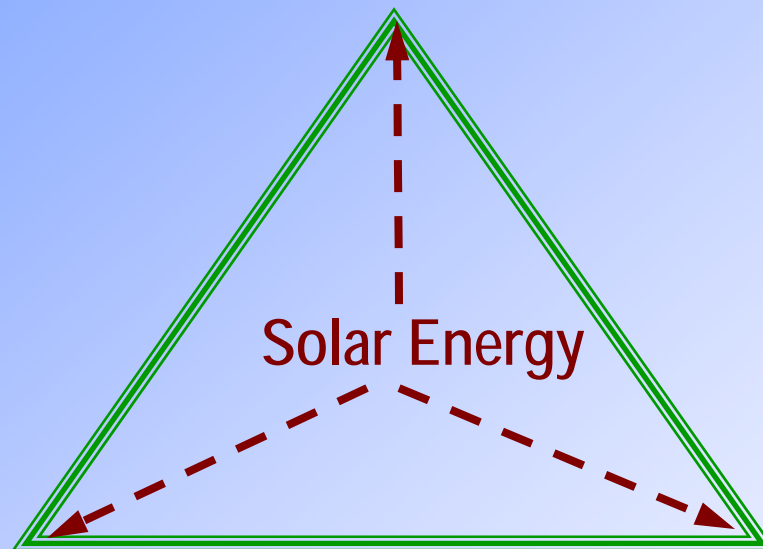
Synergies of Mining and Solar Industries:

- Using of waste materials in CSP thermal storage
- Using Li, Mg and S in electricity storage
- Using Te and Se in PV

Solar Energy-Water-Environment Nexus in Mining

Inexpensive & Reliable
Energy

Mining needs a lot of
energy and water

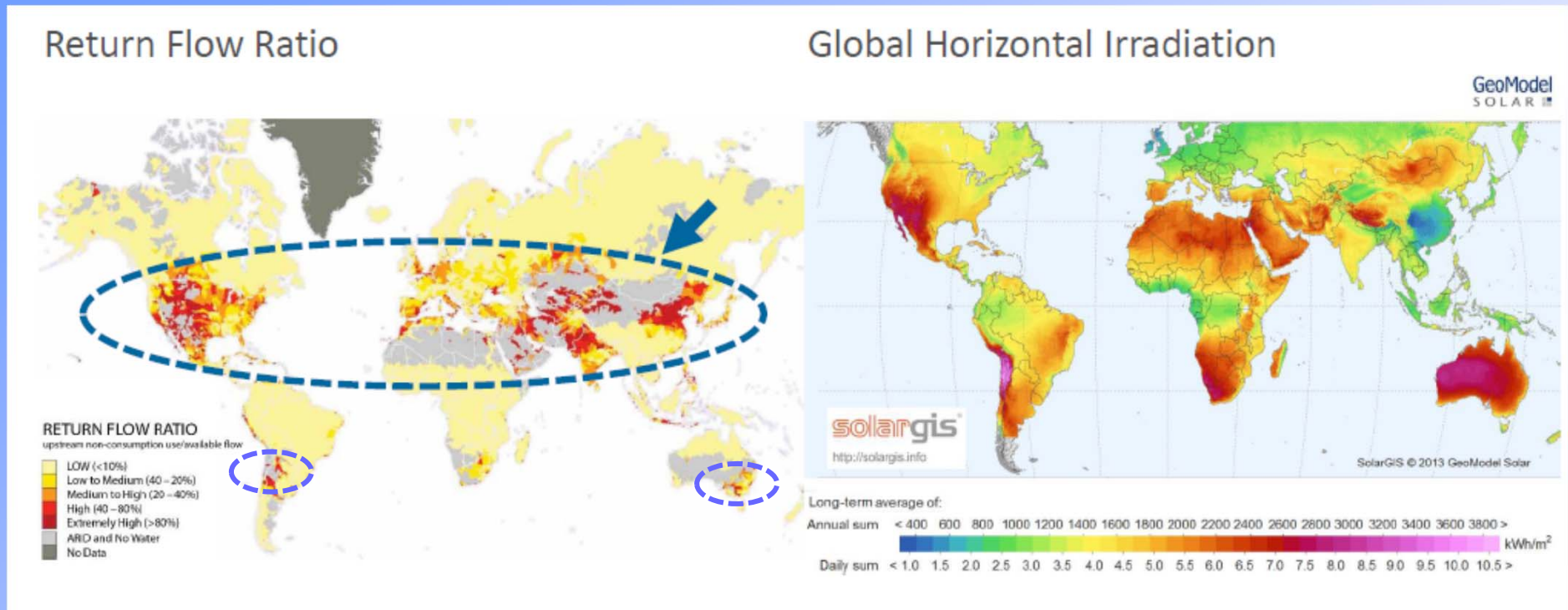


Water
Desalination

Lowest Environmental
Impact

The cost of energy is
~1/2 the water cost

Regions of Water Stress are Rich in Solar Irradiance & often Rich in Metal & Mineral Resources

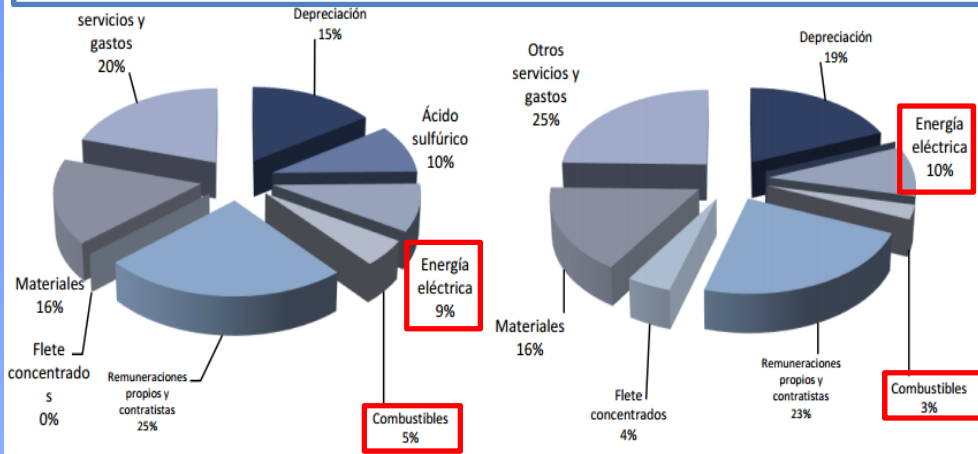


- Sustainable seawater desalination relying on solar energy is a sensible approach

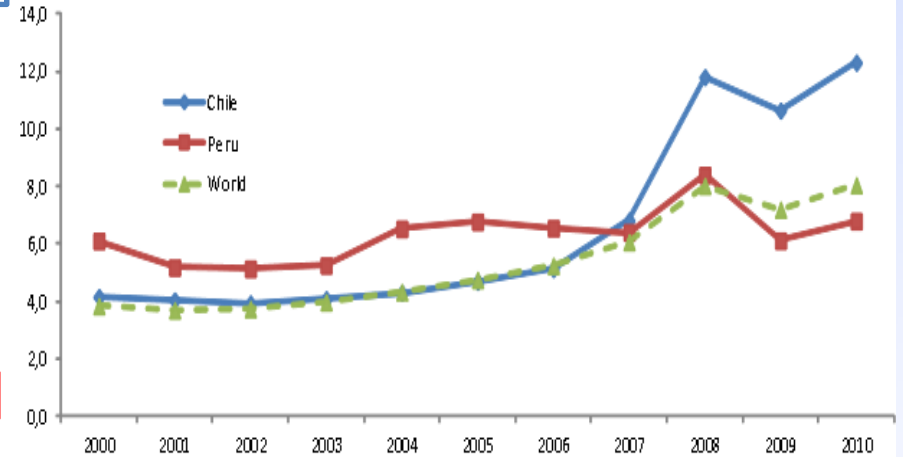
Energy-Water Nexus in Cu Mining –Chile

Solution: Solar Water Desalination

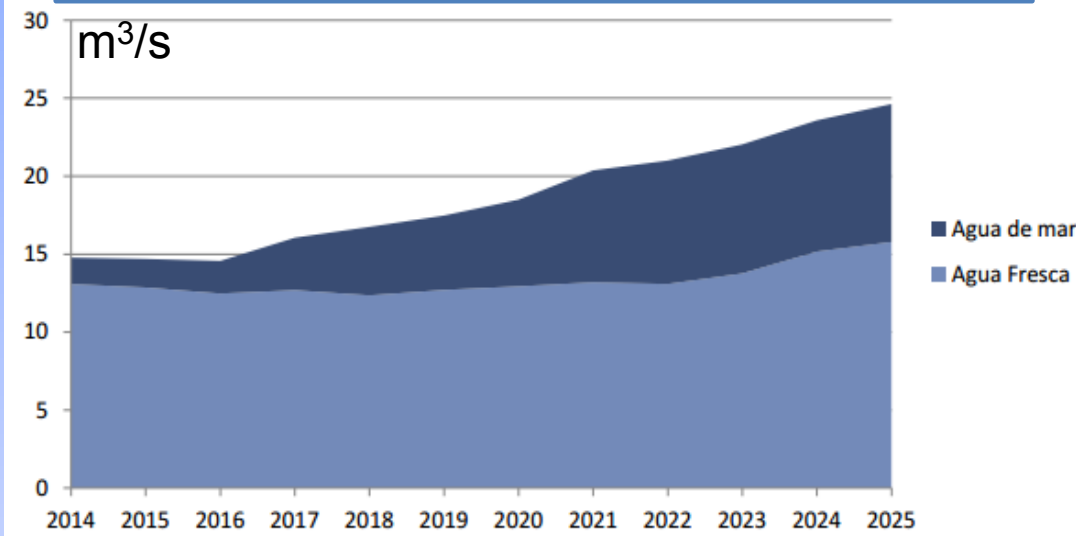
Cu Production Operational Cost Breakdown
-Leaching- -Concentration-



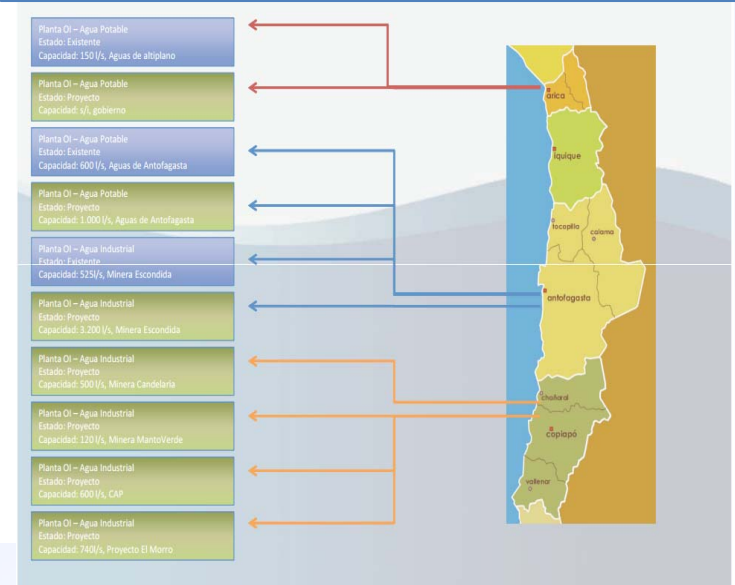
Cost of Energy (US\$/lb of Cu)



Projected Water Consumption in Cu mining



Seawater RO Desalination Plants



Studies with Solar Energy Research Center of Chile: Solar Energy and Water in the Mining Industries

- **Non-metallic mining industry.**
Water for mining camps and chemical process for brine to solid salts
- **Copper industry.**
Solar electricity to displace imported fossil-fuels
- **Water Treatment using Solar Energy**
Solar can provide the desalination energy needs
- **Thermal storage using salts, electricity storage using pumped-hydro and Li-ion batteries**

**1st and 2nd International Workshop in Lithium, Industrial Minerals and Energy
Antofagasta, Chile, IWLiME 2014 and IWLiME 2015**



Motivation

- The exports from the mining industry in Chile totalled \$45.2 billion in 2013¹
- The Chilean production of Cu becomes less competitive; one reason is high electricity prices.
- The metal production is mainly located in northern Chile which has the best solar resource in the world.



Escondida (BHP Billinton; Atacama Desert, Antofagasta, Chile)

Metal	North Chile % of national production
Copper	69.4
Gold	75.9
Silver	62.2
Iron	83.7
Molybdenum	31.0

¹ ANUARIO DE LA MINERÍA DE CHILE 2013 (versión corregida), ISSN 0066-5096, Inscripción No 240.099, SERNAGEOMIN

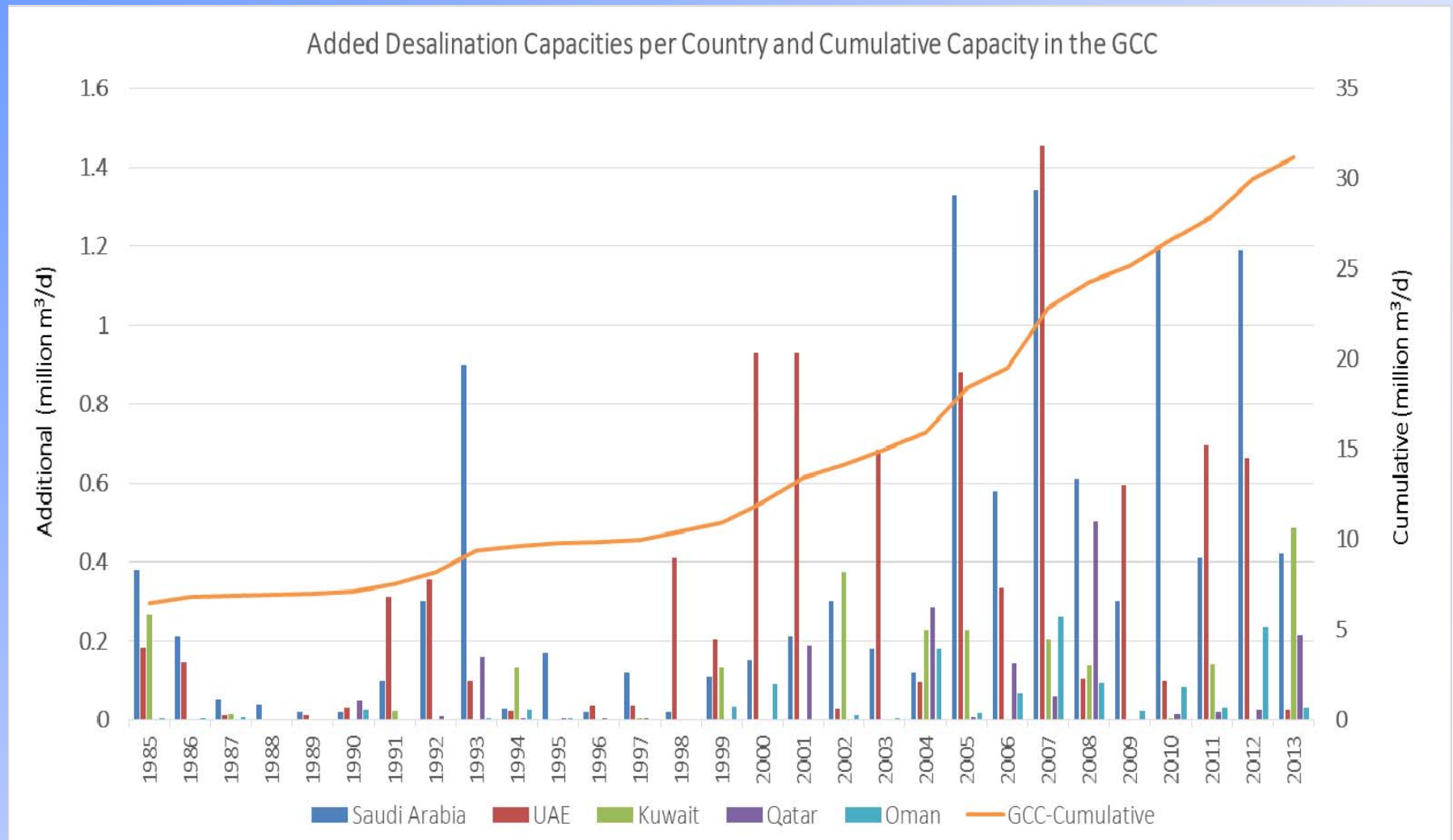
² our elaboration based on ref 1

Energy-Environment Nexus in Cu & Li Mining

Solution: Mining Waste to be Used for Thermal Energy Storage in Concentrated Solar Power

- The huge metal mining industry leaves tons of accumulated wastes with no applications to date.
- An Andasol 50 MWe CSP with 7.5 hr thermal energy storage (TES) required 28,000 tonnes of nitrate salts.
- Objective: Develop TES systems based on mining wastes and establish the feasibility and sustainability of implementation of such systems via Life Cycle Analysis.

Water-Energy Nexus: Desalination Capacities

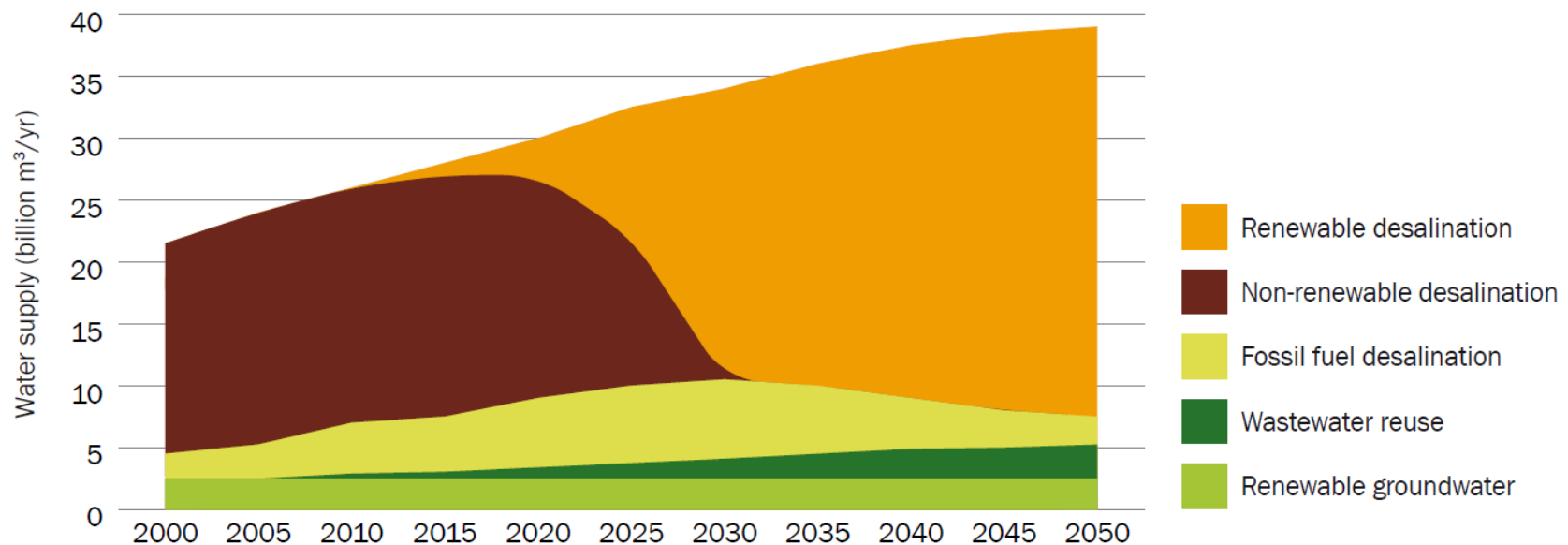


Fthenakis V., Solar Energy-enabled Water Desalination in the Gulf Cooperation Council, Prepared for IRENA, in review

Water-Energy Nexus: Low-carbon International Desalination Initiative for COP21

- An alliance of non-governmental actors and possibly governments to foster technology development and solutions sharing to drastically reduce CO2 emissions in water desalination
- Investment in R&D&D (additional \$100M/yr)
- Effort led by Masdar Institute, UAE.
- Endorsed by IRENA
- To be endorsed by the Government of the United Arab Emirates (UAE)
- The Initiative shall be launched at the United Nations Climate Change Conference COP21 December 2015 in Paris
- U.S. Contributors: Columbia-CLCA and NREL

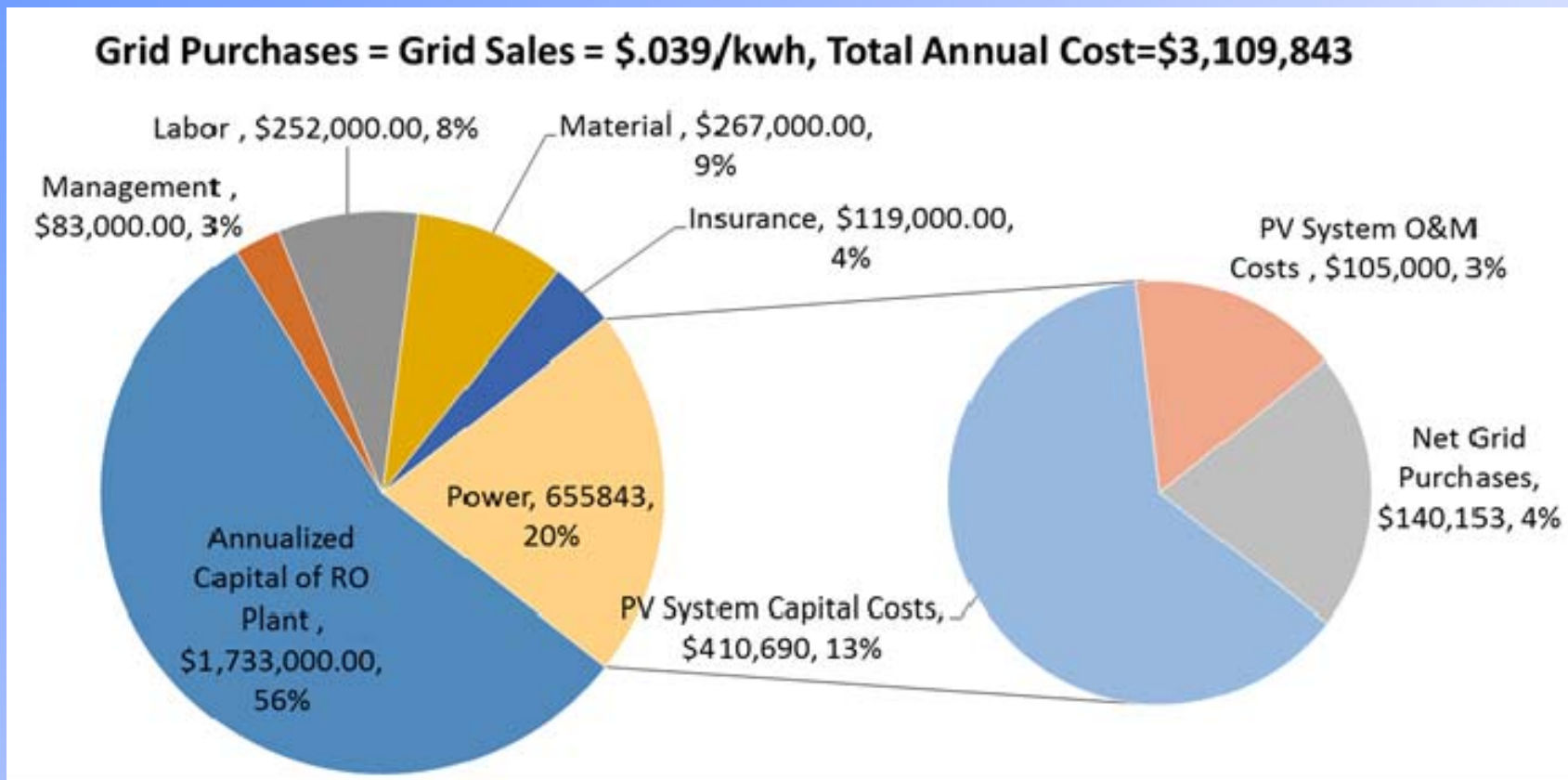
Figure 16.13 Projections on Saudi water supply by source



Source: KACST

Water-Energy Nexus: Solar Desalination Feasibility Studies

Grid Connected Systems



Fthenakis, Atia, Morin, Bkayrat, New Prospects for PV Powered Water Desalination Plants: A case study in Saudi Arabia, Progress in Photovoltaics: Research and Applications, in press

Atia, Fthenakis, Bkayrat, Techno-economic Evaluation of Stand-Alone PV-Powered Seawater Desalination Plants in Saudi Arabia,, Proc. 29th European Photovoltaic Solar Energy Conference, 2014.

Adam Atia, NSF GRF 2015-18: Flexible, Solar Powered, Water Desalination/Decontamination

Problems and **Integrated** Solutions

Top 10 problems of Humanity for Richard Smalley (1943- 2005)

1. **Energy**
2. **Water**
3. **Food**
4. **Environment**

29 April 2015

California launches most ambitious climate targets in North America

← North America, Carbon Reduction, Policy, Sustainable Energy

California Governor Jerry Brown issued an ambitious executive order on Wednesday that aims to reduce the states greenhouse gas emissions by 40 per cent below 1990 levels by 2030.

Four-term Governor Brown called it the most aggressive emissions reduction target by any North American government in history.

Under Brown's leadership, California has made impressive steps to combat climate change, which has impacted the state with severe water shortages.

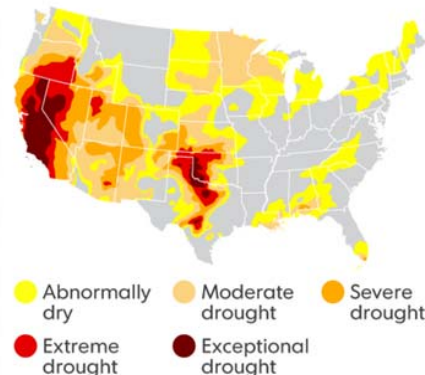


California's Gov. Brown sounds the alarm on drought

MONITORING THE DROUGHT

Nearly 37% of the U.S. — and 98% of the state of California — is in some form of drought.

Current U.S. drought!



Desalination in California

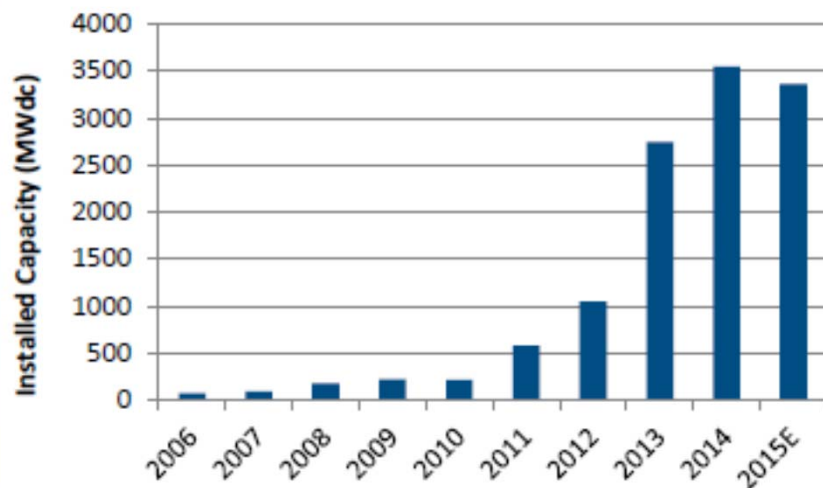
The nation's largest ocean desalination plant is under construction in Carlsbad and set to open in 2016. Only three small plants are open now, and about 15 others are proposed.



Source: California Department of Water Resources

BAY AREA NEWS GROUP

California Annual Solar Installations



The CLCA Team



Adam Atia
Solar Water
Desalination



Thomas Nikolakakis
World Bank; RE
System Integration



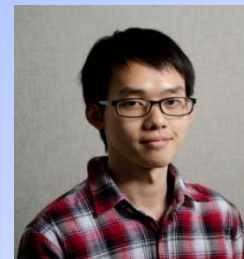
Keith Burrows
Cardinal Glass;
Optical Pyrolysis
PV Recycling



Samet Ozturk
Integrated
LCA+RA



Pablo Cassorla
Sea-water
Pumped Hydro



Zhuoran Zhang
PV Recycling
LCA



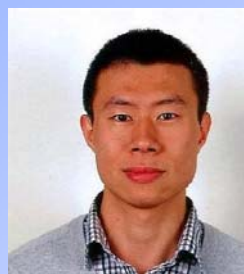
Guilherme Miranda
Pumped Hydro



Ma Xingjian
BIPV LCA



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Microgrid LCA



Lizhang Xie
CSP-Storage LCA



Cao Wei
PV LCA



Zhaoyu Kou
PV Recycling



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Problems awaiting Solutions

Need for integrated solutions on Energy-Water-Environment

EAAE can address bigger multi-faceted problems by strengthening linkages among faculty and among departments

- Lall & Gentine –Water Management/Modeling
- Modi –Microgrids, CSP-thermal storage LCA
- Yip & Durning –Membrane-based Water Desalination
- Somasundaran & Xi –Nanomaterials LCA
- Schlosser & Sachs –RE Solutions to Climate Change
- Themelis/Bourtsalas –WTE LCA
- Farrauto –Fuel-cell systems analysis
- Park –Solar-synthetic fuel LCA
- Yin –LCA of hybrid solar panels
- Culligan –Building LCA
- Deodatis –Integrated Risk and Life-Cycle Assessment

